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## The arithmetic Grothendieck-Riemann-Roch theorem for general projective morphisms

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**ABSTRACT.** — In this paper we extend the arithmetic Grothendieck-Riemann-Roch Theorem to projective morphisms between arithmetic varieties that are not necessarily smooth over the complex numbers. The main ingredient of this extension is the theory of generalized holomorphic analytic torsion classes previously developed by the authors.

**RÉSUMÉ.** — Dans cet article on étend le théorème de Grothendieck-Riemann-Roch arithmétique aux morphismes projectifs entre variétés arithmétiques qui ne sont pas nécessairement lisses sur les nombres complexes. L'outil principal pour établir cette extension est la théorie des classes généralisées de torsion analytique holomorphe, développée dans les travaux précédents des auteurs.

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## 1. Introduction

The Grothendieck-Riemann-Roch theorem is a fundamental statement in algebraic geometry. It describes the behavior of the Chern character from algebraic  $K$ -theory to suitable cohomology theories (for instance Chow groups) with respect to the push-forward operation by proper maps. It provides a vast generalization of the classical Riemann-Roch theorem on Riemann surfaces and the Hirzebruch-Riemann-Roch theorem on compact complex manifolds.

In [14] Faltings proved a Riemann-Roch formula for arithmetic surfaces. Later, in their development of a higher dimensional arithmetic intersection theory, Gillet and Soulé were lead to extend the Grothendieck-Riemann-Roch theorem to the context of arithmetic varieties. In this setting, vector bundles are equipped with additional smooth hermitian metrics, for which an extension of algebraic  $K$ -theory can be defined. There is a theory of characteristic classes for hermitian vector bundles, with values in the so-called arithmetic Chow groups [18]. In analogy to the classical algebraic geometric setting, it is natural to ask about the behavior of the arithmetic Chern character with respect to proper push-forward. This is the question addressed by Faltings [15], Gillet-Soulé [20] and later by Gillet-Rössler-Soulé [16]. These works had to restrict to push-forward by morphisms which are smooth over the complex points of the arithmetic varieties. This assumption was necessary in defining both push-forwards, on arithmetic  $K$ -theory and on the arithmetic Chow groups. While on arithmetic Chow groups push-forward relies on elementary operations (direct images of cycles and fiber integrals of differential forms), they used holomorphic analytic torsion on the arithmetic  $K$ -theory level [5].

The aim of this article is to extend the work of Gillet-Soulé and Gillet-Rössler-Soulé to arbitrary projective morphisms of regular arithmetic varieties. Hence we face the difficulty of non-smoothness of morphisms at the level of complex points. To accomplish our program, we have to introduce generalized arithmetic Chow groups and arithmetic  $K$ -theory groups which afford proper push-forward functorialities for possibly non-smooth projective morphisms. Loosely speaking, this is achieved by replacing smooth differential forms in the theory of Gillet and Soulé by currents with possibly non-empty wave front sets. To motivate the introduction of these currents, we remark that they naturally appear as push-forwards of smooth differential forms by morphisms whose critical set is non-empty. In this concrete example, the wave front set of the currents is controlled by the normal directions of the morphism. The definition of our generalized arithmetic Chow groups is a variant of the constructions of Burgos-Kramer-Kühn [12], spe-

cially their covariant arithmetic Chow groups. As an advantage with respect to *loc. cit.*, the presentation we give simplifies the definition of proper push-forward, which is the main operation we have to deal with in the present article. At the level of Chow groups, this operation relies on push-forward of currents and keeps track of the wave front sets. For arithmetic  $K$ -groups, we replace the analytic torsion forms of Bismut-Köhler by a choice of a generalized analytic torsion theory as developed in our previous work [9]. While a generalized analytic torsion theory is not unique, we proved it is uniquely determined by the choice of a real genus. We establish an arithmetic Grothendieck-Riemann-Roch theorem for arbitrary projective morphisms, where this real genus replaces the  $R$ -genus of Gillet and Soulé. We therefore obtain the most general possible formulation of the theorem. In particular, the natural choice of the 0 genus (corresponding to the homogenous theory of analytic torsion) provides an exact Grothendieck-Riemann-Roch type formula, which is the formal translation of the classical algebraic geometric theorem to the setting of Arakelov geometry. The present work is thus the abutment of the article [13] (by the first and third named authors) and the articles [10] and [9].

Let us briefly review the contents of this article. In section 2 we develop our new generalization of arithmetic Chow groups, and consider as particular instances the arithmetic Chow groups with currents with fixed wave front set. We study the main operations, such as pull-back, push-forward and products. In section 3 we carry a similar program to arithmetic  $K$ -theory. We also consider an arithmetic version of our hermitian derived categories [10], that is specially useful to deal with complexes of coherent sheaves with hermitian structures. The essentials on arithmetic characteristic classes are treated in section 4. With the help of our theory of generalized analytic torsion, section 5 builds push-forward maps on the level of arithmetic derived categories and arithmetic  $K$ -theory. The last section, namely section 6, is devoted to the statement and proof of the arithmetic Grothendieck-Riemann-Roch theorem for arbitrary projective morphisms of regular arithmetic varieties. As an application, we compute the main characteristic numbers of the homogenous theory, a question that was left open in [9].

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## 2. Generalized arithmetic Chow groups

Let  $(A, \Sigma, F_\infty)$  be an arithmetic ring [17]: that is,  $A$  is an excellent regular Noetherian integral domain, together with a finite non-empty set of embeddings  $\Sigma$  of  $A$  into  $\mathbb{C}$  and a linear conjugate involution  $F_\infty$  of the product  $\mathbb{C}^\Sigma$  which commutes with the diagonal embedding of  $A$ . Let  $F$  be the field of fractions of  $A$ . An *arithmetic variety*  $\mathcal{X}$  is a flat and quasi-projective scheme over  $A$  such that  $\mathcal{X}_F = \mathcal{X} \times \text{Spec} F$  is smooth. Then  $X_{\mathbb{C}} := \coprod_{\sigma \in \Sigma} \mathcal{X}_\sigma(\mathbb{C})$  is a complex algebraic manifold, which is endowed with an anti-holomorphic automorphism  $F_\infty$ . One also associates to  $\mathcal{X}$  the real variety  $X = (X_{\mathbb{C}}, F_\infty)$ . Whenever we have arithmetic varieties  $\mathcal{X}, \mathcal{Y}, \dots$  we will denote by  $X_{\mathbb{C}}, Y_{\mathbb{C}}, \dots$  the associated complex manifolds and by  $X, Y, \dots$  the associated real manifolds.

To every regular arithmetic variety Gillet and Soulé have associated arithmetic Chow groups, denoted  $\widehat{\text{CH}}^*(\mathcal{X})$ , and developed an arithmetic intersection theory [17].

The arithmetic Chow groups defined by Gillet and Soulé are only covariant for morphisms that are smooth on the generic fiber. Moreover they are not suitable to study the kind of singular metrics that appear naturally when dealing with non proper modular varieties. In order to have arithmetic Chow groups that are covariant with respect to arbitrary proper morphism, or that are suitable to treat certain kind of singular metrics, in [12] different kinds of arithmetic Chow groups are constructed, depending on the choice of a Gillet sheaf of algebras  $\mathcal{G}$  and a  $\mathcal{G}$ -complex  $\mathcal{C}$ . We denote by  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{C})$  the arithmetic Chow groups defined in *op. cit.* Section 4.

The basic example of a Gillet algebra is the Deligne complex of sheaves of differential forms with logarithmic singularities  $\mathcal{D}_{\log}$ , defined in [12, Definition 5.67]; we refer to *op. cit.* for the precise definition and properties. Therefore, to any  $\mathcal{D}_{\log}$ -complex we can associate arithmetic Chow groups. In particular, considering  $\mathcal{D}_{\log}$  itself as a  $\mathcal{D}_{\log}$ -complex, we obtain  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{D}_{\log})$ , the arithmetic Chow groups defined in [12, Section 6.1]. When  $\mathcal{X}_F$  is projective, these groups agree, up to a normalization factor, with the groups defined by Gillet and Soulé.

The groups  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{C})$  introduced in [12, Section 6.1] have several technical issues: they depend on the sheaf structure of  $\mathcal{C}$  and not only on the complex of global sections  $\mathcal{C}(X)$ ; moreover, they are not completely satisfactory if the cohomology determined by  $\mathcal{C}$  does not satisfy a weak purity property; finally the definition of direct images is intricate. To overcome these difficulties we introduce here a variant of the cohomological arithmetic Chow groups that only depends on the complex of global sections of a  $\mathcal{D}_{\log}$ -complex.

DEFINITION 2.1. — *Let  $\mathcal{X}$  be an arithmetic variety,  $X_{\mathbb{C}} = \mathcal{X}_{\Sigma}$  the associated complex manifold and  $X = (X_{\mathbb{C}}, F_{\infty})$  the associated real manifold. A  $\mathcal{D}_{\log}(X)$ -complex is a graded complex of real vector spaces  $C^*(*)$  provided with a morphism of graded complexes*

$$c: \mathcal{D}_{\log}^*(X, *) \longrightarrow C^*(*).$$

*Given two  $\mathcal{D}_{\log}(X)$ -complexes  $C$  and  $C'$ , we say that  $C'$  is a  $C$ -complex if there is a commutative diagram of morphisms of graded complexes*

$$\begin{array}{ccc} \mathcal{D}_{\log}^*(X, *) & \xrightarrow{c} & C^*(*) \\ & \searrow c' & \downarrow \varphi \\ & & C'^*(*) \end{array}$$

*In this situation, we say that  $\varphi$  is a morphism of  $\mathcal{D}_{\log}(X)$ -complexes.*

We stress the fact that a  $\mathcal{D}_{\log}$ -complex is a complex of sheaves while a  $\mathcal{D}_{\log}(X)$ -complex is a complex of vector spaces. If  $\mathcal{C}$  is a  $\mathcal{D}_{\log}$ -complex of real vector spaces, then the complex of global sections  $\mathcal{C}^*(X, *)$  is a  $\mathcal{D}_{\log}(X)$ -complex. We are mainly interested in the  $\mathcal{D}_{\log}(X)$ -complexes of Example 2.2 made out of differential forms and currents. We will follow the conventions of [12, Section 5.4] regarding differential forms and currents. In particular, both the current associated to a differential form and the current associated to a cycle have implicit a power of the trivial period  $2\pi i$ .

Example 2.2. —

- (i) The Deligne complex  $\mathcal{D}_{\mathfrak{a}}^*(X, *)$  of differential forms on  $X$  with arbitrary singularities at infinity. Namely, if  $E^*(X_{\mathbb{C}})$  is the Dolbeault complex ([12, Definition 5.7]) of differential forms on  $X_{\mathbb{C}}$  then

$$\mathcal{D}_{\mathfrak{a}}^*(X, *) = \mathcal{D}^*(E^*(X_{\mathbb{C}}), *)^{\sigma},$$

where  $\mathcal{D}^*(\_, *)$  denotes the Deligne complex ([12, Definition 5.10]) associated to a Dolbeault complex and  $\sigma$  is the involution  $\sigma(\eta) = \overline{F_\infty^* \eta}$  as in [12, Notation 5.65].

Note that  $\mathcal{D}_a^*(X, *)$  is the complex of global sections of the  $\mathcal{D}_{\log}$ -complex  $\mathcal{D}_{1, \text{ll}, a}^*$  that appears in [11, Section 3.6] with empty log-log singular locus. In particular, by [11, Theorem 3.29] it satisfies the weak purity condition.

- (ii) The Deligne complex  $\mathcal{D}_{\text{cur}, a}^*(X, *)$  of currents on  $X$ . Namely, if  $D^*(X_{\mathbb{C}})$  is the Dolbeault complex of currents on  $X_{\mathbb{C}}$  then

$$\mathcal{D}_{\text{cur}, a}^*(X, *) = \mathcal{D}^*(D^*(X_{\mathbb{C}}), *)^\sigma.$$

Note that here we are considering arbitrary currents on  $X_{\mathbb{C}}$  and not extendable currents as in [12, Definition 6.30].

- (iii) Let  $T^*X_{\mathbb{C}}$  be the cotangent bundle of  $X_{\mathbb{C}}$ . Denote by  $T_0^*X_{\mathbb{C}} = T^*X_{\mathbb{C}} \setminus X_{\mathbb{C}}$  the cotangent bundle with the zero section deleted and let  $S \subset T_0^*X_{\mathbb{C}}$  be a closed conical subset that is invariant under  $F_\infty$ . Let  $D^*(X_{\mathbb{C}}, S)$  be the complex of currents whose wave front set is contained in  $S$  [13, Section 4]. The Deligne complex of currents on  $X$  having the wave front set included in the fixed set  $S$  is given by

$$\mathcal{D}_{\text{cur}, a}^*(X, S, *) = \mathcal{D}^*(D^*(X_{\mathbb{C}}, S), *)^\sigma.$$

The maps of complexes  $\mathcal{D}_a^*(X, *) \rightarrow \mathcal{D}_{\text{cur}, a}^*(X, *)$  given by  $\eta \mapsto [\eta]$  is injective and makes of  $\mathcal{D}_{\text{cur}, a}^*(X, *)$  a  $\mathcal{D}_a^*(X, *)$ -complex. We will use this map to identify  $\mathcal{D}_a^*$  with a subcomplex of  $\mathcal{D}_{\text{cur}, a}^*$ . Since  $\mathcal{D}_a^*(X, *) = \mathcal{D}_{\text{cur}, a}^*(X, \emptyset, *)$  and  $\mathcal{D}_{\text{cur}, a}^*(X, *) = \mathcal{D}_{\text{cur}, a}^*(X, T_0^*X, *)$ , examples (i) and (ii) are particular cases of (iii).

*Remark 2.3.* — With these examples at hand, we can specialize the definition of  $C$ -complex (Definition 2.1) to  $C = \mathcal{D}_a(X)$ . When dealing with hermitian structures on sheaves on non-necessarily proper varieties, we will work with  $\mathcal{D}_a(X)$ -complexes rather than  $\mathcal{D}_{\log}(X)$ -complexes.

We will also follow the notation of [12, Section 3] regarding complexes. In particular, for  $p \geq 0$ , we will write

$$\widetilde{C}^{2p-1}(p) = C^{2p-1}(p)/\text{Im } d_C, \quad ZC^{2p}(p) = \text{Ker } d_C \cap C^{2p}(p).$$

DEFINITION 2.4. — *The arithmetic Chow groups with  $C$  coefficients are defined, for  $p \geq 0$ , as*

$$\widehat{\text{CH}}^p(\mathcal{X}, C) = \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\log}) \times \widetilde{C}^{2p-1}(p) / \sim \quad (2.1)$$

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where  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{D}_{\log})$  stands for the arithmetic Chow groups defined in [12, Section 6.1] and  $\sim$  is the equivalence relation generated by

$$(a(g), 0) \sim (0, c(g)). \quad (2.2)$$

For the convenience of the reader, below we will give a more concrete and direct description of the groups  $\widehat{\text{CH}}^*(\mathcal{X}, C)$  that does not use the groups  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{D}_{\log})$ .

If  $\varphi : C \rightarrow C'$  is a morphism of  $\mathcal{D}_{\log}(X)$ -complexes (so that  $C'$  is a  $C$ -complex), then there is a natural surjective morphism

$$\widehat{\text{CH}}^p(\mathcal{X}, C) \times \widetilde{C}'^{2p-1}(p) \longrightarrow \widehat{\text{CH}}^p(\mathcal{X}, C') \quad (2.3)$$

induced by the assignment

$$((Z, c), c') \mapsto (Z, \varphi(c) + c').$$

Hence, introducing the equivalence relation generated by

$$((0, c), 0) \equiv ((0, 0), \varphi(c)),$$

we see that (2.3) induces an isomorphism

$$\widehat{\text{CH}}^p(\mathcal{X}, C) \times \widetilde{C}'^{2p-1}(p) / \equiv \xrightarrow{\sim} \widehat{\text{CH}}^p(\mathcal{X}, C'). \quad (2.4)$$

We next unwrap Definition 2.4 in order to get simpler descriptions of the arithmetic Chow groups associated to the complexes of Example 2.2. We start by recalling the construction of  $\widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\log})$ . The group of codimension  $p$  arithmetic cycles is given by

$$\widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log}) = \left\{ (Z, \tilde{g}) \in Z^p(\mathcal{X}) \times \widetilde{\mathcal{D}}_{\log}^{2p-1}(X \setminus \mathcal{Z}^p, p) \left| \begin{array}{l} d_{\mathcal{D}} \tilde{g} \in \mathcal{D}_{\log}^{2p}(X, p) \\ \text{cl}(Z) = [(d_{\mathcal{D}} \tilde{g}, \tilde{g})] \end{array} \right. \right\},$$

where  $Z^p(\mathcal{X})$  is the group of codimension  $p$  algebraic cycles of  $\mathcal{X}$ ,  $\mathcal{Z}^p$  is the ordered system of codimension at least  $p$  closed subsets of  $X$ ,

$$\widetilde{\mathcal{D}}_{\log}^{2p-1}(X \setminus \mathcal{Z}^p, p) = \varinjlim_{W \in \mathcal{Z}^p} \widetilde{\mathcal{D}}_{\log}^{2p-1}(X \setminus W, p),$$

and  $\text{cl}(Z)$  and  $[(d_{\mathcal{D}} \tilde{g}, \tilde{g})]$  denote the class in the real Deligne-Beilinson cohomology group  $H_{\mathcal{D}, \mathcal{Z}^p}^{2p}(X, \mathbb{R}(p))$  with supports on  $\mathcal{Z}^p$  of the cycle  $Z$  and the pair  $(d_{\mathcal{D}} \tilde{g}, \tilde{g})$  respectively.



For each codimension  $p - 1$  irreducible variety  $W$  and each rational function  $f \in K(W)^\times$ , there is a class  $[f] \in H_{\mathcal{D}}^{2p-1}(X \setminus |\operatorname{div} f|, \mathbb{R}(p))$ . Hence a class  $\mathfrak{b}([f]) \in \widetilde{\mathcal{D}}_{\log}^{2p-1}(X \setminus \mathcal{Z}^p, p)$  that is denoted  $\mathfrak{g}(f)$ . Then  $\widehat{\operatorname{Rat}}^p(\mathcal{X}, \mathcal{D}_{\log})$  is the group generated by the elements of the form  $\widehat{\operatorname{div}}(f) = (\operatorname{div}(f), \mathfrak{g}(f))$ .

Then

$$\widehat{\operatorname{CH}}^p(\mathcal{X}, \mathcal{D}_{\log}) = \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log}) / \widehat{\operatorname{Rat}}^p(\mathcal{X}, \mathcal{D}_{\log}).$$

We will use the following well established notation. If  $B$  is any subring of  $\mathbb{R}$  we will denote by  $Z_B^p(\mathcal{X}) = Z^p(\mathcal{X}) \otimes B$ , by  $\widehat{Z}_B^p(\mathcal{X}, \mathcal{D}_{\log})$  the group with the same definition as  $\widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log})$  with  $Z_B^p(\mathcal{X})$  instead of  $Z^p(\mathcal{X})$ , and we write  $\widehat{\operatorname{Rat}}_B^p(\mathcal{X}, \mathcal{D}_{\log}) = \widehat{\operatorname{Rat}}^p(\mathcal{X}, \mathcal{D}_{\log}) \otimes B$ . Finally we write

$$\widehat{\operatorname{CH}}_B^p(\mathcal{X}, \mathcal{D}_{\log}) = \widehat{Z}_B^p(\mathcal{X}, \mathcal{D}_{\log}) / \widehat{\operatorname{Rat}}_B^p(\mathcal{X}, \mathcal{D}_{\log})$$

Note that  $\widehat{\operatorname{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_{\log}) = \widehat{\operatorname{CH}}^p(\mathcal{X}, \mathcal{D}_{\log}) \otimes \mathbb{Q}$  but, in general,  $\widehat{\operatorname{CH}}_{\mathbb{R}}^p(\mathcal{X}, \mathcal{D}_{\log}) \neq \widehat{\operatorname{CH}}^p(\mathcal{X}, \mathcal{D}_{\log}) \otimes \mathbb{R}$ . We will use the same notation for all variants of the arithmetic Chow groups.

Now, in the definition of  $\widehat{\operatorname{CH}}^p(\mathcal{X}, C)$  we can first change coefficients and then take rational equivalence. We define

$$\widehat{Z}^p(\mathcal{X}, C) = \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log}) \times \widetilde{C}^{2p-1}(p) / \sim$$

where again  $\sim$  is the equivalence relation generated by  $(a(g), 0) \sim (0, c(g))$ .

There are maps

$$\begin{aligned} \zeta_C: \widehat{Z}^p(\mathcal{X}, C) &\longrightarrow Z^p(\mathcal{X}), & \zeta_C((Z, \widetilde{g}), \widetilde{c}) &= Z, \\ \mathfrak{a}_C: \widetilde{C}^{2p-1}(p) &\longrightarrow \widehat{Z}^p(\mathcal{X}, C), & \mathfrak{a}_C(\widetilde{c}) &= ((0, 0), -\widetilde{c}), \\ \omega_C: \widehat{Z}^p(\mathcal{X}, C) &\longrightarrow ZC^{2p}(p), & \omega_C((Z, \widetilde{g}), \widetilde{c}) &= c(d_{\mathcal{D}}\widetilde{g}) + d_C\widetilde{c}. \end{aligned}$$

We also consider the map

$$\mathfrak{b}_C: H^{2p-1}(C^*(p)) \rightarrow \widehat{Z}^p(\mathcal{X}, C)$$

obtained by composing  $\mathfrak{a}_C$  with the inclusion  $H^{2p-1}(C^*(p)) \rightarrow \widetilde{C}^{2p-1}(p)$ , and the map

$$\rho_C: \operatorname{CH}^{p,p-1}(\mathcal{X}) \rightarrow H^{2p-1}(C^*(p))$$

obtained by composing the regulator map  $\rho: \operatorname{CH}^{p,p-1}(\mathcal{X}) \rightarrow H_{\mathcal{D}}^{2p-1}(X, \mathbb{R}(p))$  in [12, Notation 4.12] with the map  $c: H_{\mathcal{D}}^{2p-1}(X, \mathbb{R}(p)) \rightarrow H^{2p-1}(C^*(p))$ . We will also denote by  $\rho_C$  the analogous map with target  $\widetilde{C}^{2p-1}(p)$ .

There are induced maps

$$\begin{aligned}\zeta_C &: \widehat{\mathrm{CH}}^p(\mathcal{X}, C) \longrightarrow \mathrm{CH}^p(\mathcal{X}), \\ a_C &: \widetilde{C}^{2p-1}(p) \longrightarrow \widehat{\mathrm{CH}}^p(\mathcal{X}, C), \\ \omega_C &: \widehat{\mathrm{CH}}^p(\mathcal{X}, C) \longrightarrow ZC^{2p}(p).\end{aligned}$$

LEMMA 2.5. —

(i) Let  $\widehat{\mathrm{Rat}}^p(\mathcal{X}, C)$  denote the image of  $\widehat{\mathrm{Rat}}^p(\mathcal{X}, \mathcal{D}_{\log})$  in  $\widehat{Z}^p(\mathcal{X}, C)$ . Then

$$\widehat{\mathrm{CH}}^p(\mathcal{X}, C) = \widehat{Z}^p(\mathcal{X}, C) / \widehat{\mathrm{Rat}}^p(\mathcal{X}, C).$$

(ii) There is an exact sequence

$$0 \rightarrow \widetilde{C}^{2p-1}(p) \xrightarrow{a_C} \widehat{Z}^p(\mathcal{X}, C) \xrightarrow{\zeta_C} Z^p(\mathcal{X}) \rightarrow 0. \quad (2.5)$$

(iii) There are exact sequences

$$\mathrm{CH}^{p,p-1}(\mathcal{X}) \xrightarrow{\rho_C} \widetilde{C}^{2p-1}(p) \xrightarrow{a_C} \widehat{\mathrm{CH}}^p(\mathcal{X}, C) \xrightarrow{\zeta_C} \mathrm{CH}^p(\mathcal{X}) \rightarrow 0, \quad (2.6)$$

and

$$\mathrm{CH}^{p,p-1}(\mathcal{X}) \xrightarrow{\rho_C} H^{2p-1}(C^*(p)) \xrightarrow{b_C} \widehat{\mathrm{CH}}^p(\mathcal{X}, C) \xrightarrow{\zeta_C \oplus \omega_C} \quad (2.7)$$

$$\mathrm{CH}^p(\mathcal{X}) \oplus ZC^{2p}(p) \longrightarrow H^{2p}(C^*(p)) \rightarrow 0.$$

*Proof.* — (i) Follows easily from the definition.

(ii) By [8, Proposition 5.5] there is an exact sequence

$$0 \rightarrow \widetilde{\mathcal{D}}_{\log}^{2p-1}(X, p) \xrightarrow{a} \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log}) \xrightarrow{\zeta} Z^p(\mathcal{X}) \rightarrow 0.$$

From this and the definition of  $\widehat{Z}^p(\mathcal{X}, C)$  we derive the exactness of (2.5).

(iii) Follows from the exact sequences of [8, Theorem 7.3] and the definition of  $\widehat{\mathrm{CH}}^p(\mathcal{X}, C)$ .  $\square$

*Remark 2.6.* — Let  $\mathcal{C}$  be a  $\mathcal{D}_{\log}$ -complex of real vector spaces, and  $C = \mathcal{C}^*(X, *)$  the corresponding  $\mathcal{D}_{\log}(X)$ -complex of global sections. Let  $\widehat{\mathrm{CH}}^*(\mathcal{X}, \mathcal{C})$  be the arithmetic Chow groups defined in [12, Section 4.2]. Then there is a map

$$\widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\log}) \times \widetilde{C}^{2p-1}(p) \longrightarrow \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{C})$$

given by  $(x, g) \mapsto c(x) + a(g)$ , where here  $c$  means the change of coefficients from  $\mathcal{D}_{\log}$  to  $\mathcal{C}$  and  $a$  is the map defined in [12, Notation 4.12]. It is easy to see that two elements related by the equivalence relation (2.2) have the same image. Therefore we obtain a map

$$\widehat{\text{CH}}^p(\mathcal{X}, \mathcal{C}) \longrightarrow \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{C}).$$

From Lemma 2.5 and [12, Theorem 4.13], we deduce that this map is surjective and it is an isomorphism when  $\mathcal{C}$  satisfies the weak purity property [12, Definition 3.12].

The contravariant functoriality of  $\widehat{\text{CH}}^*(\mathcal{X}, \mathcal{D}_{\log})$  is easily translated to other coefficients. Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a quasi-projective morphism of regular arithmetic varieties. Let  $C$  be a  $\mathcal{D}_{\log}(X)$ -complex and  $C'$  a  $\mathcal{D}_{\log}(Y)$ -complex, such that there exists a map of complexes  $f^*: C'^*(*) \rightarrow C^*(*)$  that makes the following diagram commutative:

$$\begin{array}{ccc} \mathcal{D}_{\log}^*(Y) & \xrightarrow{f^*} & \mathcal{D}_{\log}^*(X) \\ \downarrow & & \downarrow \\ C'^*(Y) & \xrightarrow{f^*} & C^*(X). \end{array}$$

By [12, Theorem 6.13] there is a pull-back map  $f^*: \widehat{\text{CH}}^*(\mathcal{Y}, \mathcal{D}_{\log}) \rightarrow \widehat{\text{CH}}^*(\mathcal{X}, \mathcal{D}_{\log})$ . Then we define

$$f^*((\mathcal{Z}, g), c) = (f^*(\mathcal{Z}, g), f^*(c))$$

It is easy to see that this map is well defined, because the pull-back map for the Chow groups with  $\mathcal{D}_{\log}$  coefficients is compatible to the map  $a$ .

Before stating concrete examples of this contravariant functoriality we need some notation ([22, Theorem 8.2.4], see also [13, Section 4]). Let  $f_{\mathbb{C}}: X_{\mathbb{C}} \rightarrow Y_{\mathbb{C}}$  denote the induced map of complex manifolds. Let  $N_f$  be the set of normal directions of  $f_{\mathbb{C}}$ , that is

$$N_f = \{(f(x), \xi) \in T_0^*Y_{\mathbb{C}} \mid df_{\mathbb{C}}^t \xi = 0\}.$$

Let  $S \subset T_0^*Y_{\mathbb{C}}$  be a closed conical subset invariant under  $F_{\infty}$ . When  $N_f \cap S = \emptyset$ , the function  $f$  is said to be transverse to  $S$ . In this case we write

$$f^*S = \{(x, df_{\mathbb{C}}^t \xi) \mid (f(x), \xi) \in S\}.$$

It is a closed conical subset of  $T_0^*X_{\mathbb{C}}$  invariant under  $F_{\infty}$ .

PROPOSITION 2.7. — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a quasi-projective morphism of regular arithmetic varieties.*

- (i) *There is a pull-back morphism  $f^*: \widehat{\mathrm{CH}}^p(\mathcal{Y}, \mathcal{D}_a(Y)) \rightarrow \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_a(X))$ .*
- (ii) *Let  $N_f$  be the set of normal directions of  $f_{\mathbb{C}}$  and  $S \subset T_0^*Y_{\mathbb{C}}$  a closed conical subset invariant under  $F_{\infty}$ . If  $N_f \cap S = \emptyset$ , then there is a pull-back morphism*

$$f^*: \widehat{\mathrm{CH}}^p(\mathcal{Y}, \mathcal{D}_{\mathrm{cur},a}(Y, S)) \rightarrow \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, f^*S)).$$

- (iii) *If  $f_F$  is smooth (hence  $N_f = \emptyset$ ) then there is a pull-back morphism  $f^*: \widehat{\mathrm{CH}}^p(\mathcal{Y}, \mathcal{D}_{\mathrm{cur},a}(Y)) \rightarrow \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X))$ .*

Similarly the multiplicative properties of  $\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_{\log})$  can be transferred to other coefficients. Let  $C, C'$  and  $C''$  be  $\mathcal{D}_{\log}(X)$ -complexes such that there is a commutative diagram of morphisms of complexes

$$\begin{array}{ccc} \mathcal{D}_{\log}(X) \otimes \mathcal{D}_{\log}(X) & \xrightarrow{\bullet} & \mathcal{D}_{\log}(X) \\ \downarrow & & \downarrow \\ C \otimes C' & \xrightarrow{\bullet} & C'' \end{array}$$

Then we define a product

$$\widehat{\mathrm{CH}}^p(\mathcal{X}, C) \times \widehat{\mathrm{CH}}^q(\mathcal{X}, C') \rightarrow \widehat{\mathrm{CH}}^{p+q}(\mathcal{X}, C'')$$

by

$$((\mathcal{Z}, g), c) \cdot ((\mathcal{Z}', g'), c') = ((\mathcal{Z}, g) \cdot (\mathcal{Z}', g'), c \bullet c(\omega(g')) + c(\omega(g)) \bullet c' + d_C c \bullet c'). \quad (2.8)$$

As a consequence we obtain the following result.

PROPOSITION 2.8. — *Let  $\mathcal{X}$  be a regular arithmetic variety.*

- (i)  *$\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_a(X))$  is an associative commutative graded ring.*
- (ii)  *$\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X))$  is a module over  $\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_a(X))$ .*
- (iii) *Let  $S, S'$  be closed conic subsets of  $T_0^*X_{\mathbb{C}}$  that are invariant under  $F_{\infty}$ . Then  $\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, S))$  is a module over  $\widehat{\mathrm{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_a(X))$ . Moreover, if  $S \cap (-S') = \emptyset$ , there is a graded bilinear map*

$$\begin{aligned} \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, S)) \times \widehat{\mathrm{CH}}^q(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, S')) &\longrightarrow \\ &\widehat{\mathrm{CH}}_{\mathbb{Q}}^{p+q}(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, S \cup S' \cup (S + S'))). \end{aligned}$$

(iv) *The product is compatible with the pull-back of Proposition 2.7.*

We now turn our attention towards direct images. The definition of direct images for general  $\mathcal{D}_{\log}$ -complexes is quite intricate, involving the notion of covariant  $f$ -pseudo-morphisms (see [12, Definition 3.71]). By contrast, we will give another description of the groups  $\widehat{\text{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X))$  for which the definition of push-forward is much simpler.

By [7, 3.8.2] we know that any  $\mathcal{D}_{\log}$ -Green form is locally integrable. Therefore there is a well defined map

$$\varphi: \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\log}) \longrightarrow Z^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p)$$

given by  $(Z, \widetilde{g}) \rightarrow (Z, [\widetilde{g}])$  for any representative  $g$  of  $\widetilde{g}$ . The previous map can be extended to a map

$$\varphi_{\mathcal{D}_{\text{cur},a}(X)}: \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X)) \longrightarrow Z^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p)$$

given by  $\varphi_{\mathcal{D}_{\text{cur},a}(X)}((Z, \widetilde{g}), \widetilde{h}) = (Z, [\widetilde{g}] + \widetilde{h})$ .

The following result is clear.

LEMMA 2.9. — *The map  $\varphi_{\mathcal{D}_{\text{cur},a}(X)}$  is an isomorphism.*

This lemma gives us a more concrete description of the group  $\widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X))$ . In fact, we will identify it with the group  $Z^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p)$  when necessary. Some care has to be taken when doing this identification. For instance

$$\omega_{\mathcal{D}_{\text{cur},a}(X)}(\varphi_{\mathcal{D}_{\text{cur},a}(X)}^{-1}(Z, \widetilde{g})) = d_{\mathcal{D}}g + \delta_Z. \quad (2.9)$$

Let now  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a proper morphism of regular arithmetic varieties of relative dimension  $e$ . Using the above identification, we define

$$f_*: \widehat{Z}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X)) \longrightarrow \widehat{Z}^{p-e}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y)) \quad (2.10)$$

by  $f_*(Z, \widetilde{g}) = (f_*Z, f_*\widetilde{g})$ , where  $g$  is any representative of  $\widetilde{g}$ , and  $f_*(g)$  is the usual direct image of currents given by  $f_*(g)(\eta) = g(f^*\eta)$ .

PROPOSITION 2.10. — *The map  $f_*$  in (2.10) sends the group  $\widehat{\text{Rat}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X))$  to the group  $\widehat{\text{Rat}}^{p-e}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y))$ . Therefore it induces a map*

$$\widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X)) \longrightarrow \widehat{\text{CH}}^{p-e}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y)).$$

In order to transfer this push-forward to other coefficients we introduce two extra properties for a  $\mathcal{D}_{\log}(X)$ -complex  $C$ .

**(H1)** There is a commutative diagram of injective morphisms of complexes

$$\begin{array}{ccc} \mathcal{D}_{\log}^*(X, *) & \xrightarrow{c} & C^*(*) \\ & \searrow & \downarrow c' \\ & & \mathcal{D}_{\text{cur},a}^*(X, *). \end{array}$$

Since  $c'$  is injective we will usually identify  $C$  with its image by  $c'$ .

**(H2)** The map  $c'$  induces isomorphisms

$$H^n(C^*(p)) \cong H^n(\mathcal{D}_{\text{cur},a}^*(X, p))$$

for all  $p \geq 0$  and  $n = 2p - 1, 2p$ .

The conditions (H1) and (H2) have two consequences. First if  $\eta \in \mathcal{D}_{\text{cur},a}^{2p-1}(X, p)$  is a current such that  $d_{\mathcal{D}}\eta \in C^{2p}(p)$ , there exists  $a \in \mathcal{D}_{\text{cur},a}^{2p-2}(X, p)$  such that

$$d_{\mathcal{D}}a + \eta \in C^{2p-1}(p).$$

Second, the induced map  $\tilde{C}^{2p-1}(p) \rightarrow \tilde{\mathcal{D}}^{2p-1}(X, p)$  is injective.

Let  $C$  be a  $\mathcal{D}_{\log}(X)$ -complex satisfying (H1). Consider the diagram

$$\begin{array}{ccccc} \widehat{\text{CH}}^p(\mathcal{X}, C) & & & & \\ & \searrow \omega_C & & & \\ & & A & \xrightarrow{\quad} & \mathbb{Z} C^{2p}(p) \\ & \searrow j & \downarrow & & \downarrow \\ & & \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X)) & \xrightarrow{\omega} & \mathbb{Z} \mathcal{D}_{\text{cur},a}^{2p}(p) \\ & \searrow i & & & \end{array}$$

where  $A$  is defined by the cartesian square,  $i$  is induced by (H1) and  $j$  is induced by  $i$  and  $\omega_C$ .

LEMMA 2.11. — *If  $C$  also satisfies (H2) then  $j$  is an isomorphism.*

*Proof.* — By the injectivity of  $\tilde{C}^{2p-1}(p) \rightarrow \tilde{\mathcal{D}}^{2p-1}(X, p)$  and Lemma 2.5 3., the map  $i$  is injective. Hence the map  $j$  is injective and we only need to prove that  $j$  is surjective.

Let  $x = ((Z, \tilde{g}), \eta) \in A$ . This means that  $(Z, \tilde{g}) \in \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X))$ ,  $\eta \in \text{ZC}^{2p}(p)$  and  $\omega_{\mathcal{D}_{\text{cur},a}(X)}(Z, \tilde{g}) = d_{\mathcal{D}}g + \delta_Z = \eta$ . Let  $g' \in \mathcal{D}_{\log}^{2p-1}(X \setminus |Z|, p)$  be a Green form for  $Z$ . Then  $g - [g'] \in \mathcal{D}_{\text{cur},a}^{2p-1}(X, p)$  satisfies  $d_{\mathcal{D}}(g - [g']) \in C^{2p}(p)$ . By (H2) there is  $a \in \mathcal{D}_{\text{cur},a}^{2p-2}(X, p)$  such that  $g'' := g - [g'] + d_{\mathcal{D}}a \in C^{2p-1}(p)$ . Consider the element  $x' = ((Z, \tilde{g}'), \tilde{g}'') \in \widehat{\text{CH}}^p(\mathcal{X}, C)$ . To see that  $j(x') = x$  we have to check that  $\omega_C(x') = \eta$  and  $i(x') = (Z, \tilde{g})$ . We compute

$$\begin{aligned} \omega_C(x') &= c(d_{\mathcal{D}}g') + d_Cg'' = d_{\mathcal{D}}[g'] + \delta_Z + d_{\mathcal{D}}g - d_{\mathcal{D}}[g'] + d_{\mathcal{D}}d_{\mathcal{D}}a = \eta, \\ i(x') &= (Z, ([g'] + g - [g'] + d_{\mathcal{D}}a)^\sim) = (Z, \tilde{g}), \end{aligned}$$

concluding the proof of the lemma.  $\square$

We can rephrase the lemma as follows.

**THEOREM 2.12.** — *Let  $C$  be a  $\mathcal{D}_{\log}(X)$ -complex that satisfies (H1) and (H2). Then the map  $\varphi$  can be extended to an injective map*

$$\varphi_C: \widehat{\text{Z}}^p(\mathcal{X}, C) \longrightarrow \text{Z}^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p).$$

Moreover

$$\text{Im}(\varphi_C) = \left\{ (Z, \tilde{g}) \in \text{Z}^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p) \mid d_{\mathcal{D}}g + \delta_Z \in C^{2p}(p) \right\}. \quad (2.11)$$

In view of this theorem, if  $C$  satisfies (H1) and (H2), we will identify  $\widehat{\text{Z}}^p(\mathcal{X}, C)$  with the right hand side of equation (2.11).

**PROPOSITION 2.13.** — *The  $\mathcal{D}_{\log}(X)$ -complexes  $\mathcal{D}_a(X)$ ,  $\mathcal{D}_{\text{cur},a}(X, S)$  and  $\mathcal{D}_{\text{cur},a}(X)$  satisfy (H1) and (H2). Therefore we can identify*

$$\begin{aligned} \widehat{\text{Z}}^p(\mathcal{X}, \mathcal{D}_a(X)) &\cong \left\{ (Z, \tilde{g}) \in \text{Z}^p \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p) \mid d_{\mathcal{D}}g + \delta_Z \in \mathcal{D}_a^{2p}(X, p) \right\} \\ \widehat{\text{Z}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S)) &\cong \left\{ (Z, \tilde{g}) \in \text{Z}^p \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p) \mid d_{\mathcal{D}}g + \delta_Z \in \mathcal{D}_{\text{cur},a}^{2p}(X, S, p) \right\} \\ \widehat{\text{Z}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X)) &\cong \text{Z}^p(\mathcal{X}) \oplus \widetilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, p). \end{aligned}$$

*Proof.* — The result for  $\mathcal{D}_a(X)$  follows from the Poincaré  $\bar{\partial}$ -Lemma for currents [21, Pag. 382]. The result for  $\mathcal{D}_{\text{cur},a}(X, S)$  follows from the Poincaré  $\bar{\partial}$ -Lemma for currents with fixed wave front set [13, Theorem 4.5]. The statement for  $\mathcal{D}_{\text{cur},a}(X)$  is Lemma 2.9.  $\square$

*Remark 2.14.* — The previous proposition gives us a more concrete description of the groups  $\widehat{\text{Z}}^p(\mathcal{X}, C)$  for  $C = \mathcal{D}_a(X)$ ,  $\mathcal{D}_{\text{cur},a}(X, S)$  and  $\mathcal{D}_{\text{cur},a}(X)$  and also a more concrete description of the groups  $\widehat{\text{CH}}^p(\mathcal{X}, C)$ . In particular

it easily implies that the groups  $\widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_a(X))$ ,  $p \geq 0$ , agree (up to a normalization factor) with the arithmetic Chow groups of Gillet–Soulé  $\widehat{\mathrm{CH}}^p(\mathcal{X})$  and that the groups  $\widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X))$ ,  $p \geq 0$ , agree (again up to a normalization factor) with the arithmetic Chow groups of Kawaguchi–Moriwaki  $\widehat{\mathrm{CH}}_D^p(\mathcal{X})$ , introduced in [23].

We want to transfer the push-forward of Proposition 2.10 to complexes satisfying (H1) and (H2). Let again  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a proper morphism of regular arithmetic varieties of relative dimension  $e$ . Let  $C$  be a  $\mathcal{D}_{\log}(X)$ -complex and  $C'$  a  $\mathcal{D}_{\log}(Y)$ -complex, both satisfying (H1) and (H2). We assume there exists a map of complexes  $f_*: C^*(*) \rightarrow C'^{* - 2e}(* - e)$  that makes the following diagram commutative:

$$\begin{array}{ccc} C^*(*) & \xrightarrow{f_*} & C'^{* - 2e}(* - e) \\ \downarrow & & \downarrow \\ \mathcal{D}_{\mathrm{cur}}^*(X, *) & \xrightarrow{f_*} & \mathcal{D}_{\mathrm{cur}}^{\ast - 2e}(Y, * - e). \end{array} \quad (2.12)$$

Then, for  $p \geq 0$ , we define maps

$$f_*: \widehat{Z}^p(\mathcal{X}, C) \rightarrow \widehat{Z}^{p-e}(\mathcal{Y}, C')$$

given by

$$f_*(Z, \widetilde{g}) = (f_*Z, f_*(\widetilde{g})). \quad (2.13)$$

Observe that this definition involves the identification of Theorem 2.12. By Proposition 2.10, the assignments (2.13) send the groups  $\widehat{\mathrm{Rat}}^p(\mathcal{X}, C)$  to the groups  $\widehat{\mathrm{Rat}}^{p-e}(\mathcal{Y}, C')$ . Therefore we obtain induced morphisms

$$f_*: \widehat{\mathrm{CH}}^p(\mathcal{X}, C) \longrightarrow \widehat{\mathrm{CH}}^{p-e}(\mathcal{Y}, C').$$

Before stating concrete examples of this covariant functoriality we need some notation. Let  $f_{\mathbb{C}}: X_{\mathbb{C}} \rightarrow Y_{\mathbb{C}}$  denote the induced proper map of complex manifolds. If  $S \subset T_0^*X_{\mathbb{C}}$  is a closed conical subset invariant under  $F_{\infty}$ , then we write

$$f_*S = \{(f(x), \xi) \in T_0^*Y_{\mathbb{C}} \mid (x, df^t\xi) \in S\} \cup N_f.$$

By the properness of  $f$ , it is a closed conical subset of  $T_0^*Y_{\mathbb{C}}$  invariant under  $F_{\infty}$ .

**PROPOSITION 2.15.** — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$ ,  $g: \mathcal{Y} \rightarrow \mathcal{Z}$  be proper morphisms of regular arithmetic varieties of relative dimension  $e$  and  $e'$ , and  $S \subset T_0^*X_{\mathbb{C}}$  a closed conical subset invariant under  $F_{\infty}$ . Then there are maps*

$$f_*: \widehat{\mathrm{CH}}^p(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, S)) \rightarrow \widehat{\mathrm{CH}}^{p-e}(\mathcal{Y}, \mathcal{D}_{\mathrm{cur},a}(Y, f_*S)),$$



and similarly

$$g_*: \widehat{\text{CH}}^{p'}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, f_*S)) \rightarrow \widehat{\text{CH}}^{p'-e'}(\mathcal{Z}, \mathcal{D}_{\text{cur},a}(Z, g_*f_*S)).$$

Furthermore, the relation  $(g \circ f)_* = g_* \circ f_*$  is satisfied.

As a particular case of the above proposition we obtain the following cases.

**COROLLARY 2.16.** — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a proper morphism of regular arithmetic varieties of relative dimension  $e$ .*

(i) *There are maps*

$$f_*: \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_a(X)) \rightarrow \widehat{\text{CH}}^{p-e}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, N_f)).$$

(ii) *If  $N_f = \emptyset$ , then there are maps*

$$f_*: \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_a(X)) \rightarrow \widehat{\text{CH}}^{p-e}(\mathcal{Y}, \mathcal{D}_a(Y)).$$

The functoriality and the multiplicative structures satisfy the following compatibility properties.

**THEOREM 2.17.** — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of regular arithmetic varieties. Let  $N_f$  be the set of normal directions of  $f_{\mathbb{C}}$  and  $S, S' \subset T_0^*Y_{\mathbb{C}}$  closed conical subsets invariant under  $F_{\infty}$ . Then*

$$f^*(S \cup S' \cup (S + S')) = f^*(S) \cup f^*(S') \cup (f^*(S) + f^*(S')).$$

*If  $N_f \cap (S \cup S' \cup (S + S')) = \emptyset$  and  $S \cap (-S') = \emptyset$  then  $f^*(S) \cap (-f^*(S')) = \emptyset$ . In this case, if  $\alpha \in \widehat{\text{CH}}^p(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S))$  and  $\beta \in \widehat{\text{CH}}^q(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S'))$  then*

$$f^*(\alpha \cdot \beta) = f^*(\alpha) \cdot f^*(\beta) \in \widehat{\text{CH}}_{\mathbb{Q}}^{p+q}(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, f^*(S \cup S' \cup (S + S')))).$$

**THEOREM 2.18.** — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a proper morphism of regular arithmetic varieties of relative dimension  $e$ . Let  $N_f$  be the set of normal directions of  $f_{\mathbb{C}}$ ,  $S \subset T_0^*X_{\mathbb{C}}$  and  $S' \subset T_0^*Y_{\mathbb{C}}$  closed conical subsets invariant under  $F_{\infty}$ . Then*

$$f_*(S \cup f^*(S') \cup (S + f^*(S'))) \subset f_*(S) \cup S' \cup (f_*(S) + S').$$

*If  $f_*(S) \cap (-S') = \emptyset$  then  $N_f \cap S' = \emptyset$  and  $S \cap (-f^*(S')) = \emptyset$ . In this case, if  $\alpha \in \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S))$  and  $\beta \in \widehat{\text{CH}}^q(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S'))$  then*

$$f_*(\alpha \cdot f^*(\beta)) = f_*(\alpha) \cdot \beta \in \widehat{\text{CH}}_{\mathbb{Q}}^{p+q-e}(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, f_*(S) \cup S' \cup (f_*(S) + S'))).$$

### 3. Arithmetic $K$ -theory and derived categories

#### 3.1. Arithmetic $K$ -theory

As for arithmetic Chow groups, the arithmetic  $K$ -groups of Gillet-Soulé [18] can be generalized to include more general coefficients at the archimedean places. An arithmetic Chern character allows to compare these generalized arithmetic  $K$ -groups and the generalized arithmetic Chow groups. The arithmetic Chern character is automatically compatible with pull-back and products whenever defined.

Because the definition of arithmetic  $K$ -groups involves hermitian vector bundles whose metrics have arbitrary singularities at infinity, we are actually forced to consider  $\mathcal{D}_a(X)$ -complex coefficients.

DEFINITION 3.1. — *Let  $\mathcal{X}$  be an arithmetic variety and  $C^*(*)$  a  $\mathcal{D}_a(X)$ -complex with structure morphism  $c : \mathcal{D}_a^*(X, *) \rightarrow C^*(*)$ . The arithmetic  $K$  group of  $\mathcal{X}$  with  $C$  coefficients is the abelian group  $\widehat{K}_0(\mathcal{X}, C)$  generated by pairs  $(\overline{\mathcal{E}}, \eta)$ , where  $\overline{\mathcal{E}}$  is a smooth hermitian vector bundle on  $\mathcal{X}$  and  $\eta \in \bigoplus_{p \geq 0} \widetilde{C}^{2p-1}(p)$ , modulo the relations*

$$(\overline{\mathcal{E}}_1, \eta_1) + (\overline{\mathcal{E}}_2, \eta_2) = (\overline{\mathcal{E}}, c(\widetilde{\text{ch}}(\overline{\mathcal{E}})) + \eta_1 + \eta_2),$$

for every exact sequence

$$\overline{\mathcal{E}}: \quad 0 \longrightarrow \overline{\mathcal{E}}_1 \longrightarrow \overline{\mathcal{E}} \longrightarrow \overline{\mathcal{E}}_2 \longrightarrow 0$$

with Bott-Chern secondary class  $\widetilde{\text{ch}}(\overline{\mathcal{E}})$ .

An equivalent construction can be given in the same lines as for the generalized arithmetic Chow groups. First apply the construction of Definition 3.1 to the complex  $\mathcal{D}_a^*(X, *)$  and the identity map. The obtained arithmetic  $K$  groups, that are denoted  $\widehat{K}_0(\mathcal{X}, \mathcal{D}_a)$ , are isomorphic to the ones defined by Gillet and Soulé in [18]. They are also a particular case of the arithmetic  $K$  groups introduced in [11, Sec. 5.2]. Namely, the case when the divisor  $D$  in *loc. cit.* is empty.

There is a natural morphism

$$\widehat{K}_0(\mathcal{X}, \mathcal{D}_a) \longrightarrow \widehat{K}_0(\mathcal{X}, C) \tag{3.1}$$

$$[\overline{\mathcal{E}}, \eta] \longmapsto [\overline{\mathcal{E}}, c(\eta)]$$

induced by  $c : \mathcal{D}_a^*(X, *) \rightarrow C^*(*)$ , and also

$$\bigoplus_{p \geq 0} \tilde{C}^{2p-1}(p) \longrightarrow \widehat{K}_0(\mathcal{X}, C). \quad (3.2)$$

$$\eta \longmapsto [0, \eta]$$

One easily sees the maps (3.1)–(3.2) induce a natural isomorphism of groups

$$\widehat{K}_0(\mathcal{X}, \mathcal{D}_a) \times \bigoplus_{p \geq 0} \tilde{C}^{2p-1}(p) / \equiv \xrightarrow{\cong} \widehat{K}_0(\mathcal{X}, C), \quad (3.3)$$

where  $\equiv$  is the equivalence relation generated by

$$((\bar{\mathcal{E}}, \eta), 0) \equiv ((\bar{\mathcal{E}}, 0), c(\eta)).$$

Generalized arithmetic  $K$ -groups for suitable complexes have pull-backs and products. Let  $f : \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of arithmetic varieties, and suppose given  $\mathcal{D}_a(X)$  and  $\mathcal{D}_a(Y)$  complexes  $C$  and  $C'$  respectively, for which there is a commutative diagram

$$\begin{array}{ccc} \mathcal{D}_a^*(Y) & \xrightarrow{f^*} & \mathcal{D}_a^*(X) \\ \downarrow & & \downarrow \\ C'^* & \xrightarrow{f^*} & C^*. \end{array} \quad (3.4)$$

By description (3.3) and the contravariant functoriality of  $\widehat{K}_0(\_, \mathcal{D}_a)$ , we see there is an induced morphism of groups

$$f^* : \widehat{K}_0(\mathcal{Y}, C') \longrightarrow \widehat{K}_0(\mathcal{X}, C).$$

For this kind of functoriality, an analog statement to Proposition 2.7 holds, and we leave to the reader the task of stating it. As for products, let  $C$ ,  $C'$  and  $C''$  be  $\mathcal{D}_a(X)$ -complexes with a product  $C \otimes C' \xrightarrow{\bullet} C''$  and a commutative diagram

$$\begin{array}{ccc} \mathcal{D}_a(X) \otimes \mathcal{D}_a(X) & \xrightarrow{\bullet} & \mathcal{D}_a(X) \\ \downarrow & & \downarrow \\ C \otimes C' & \xrightarrow{\bullet} & C''. \end{array} \quad (3.5)$$

Then there is an induced product at the level of  $\widehat{K}_0$

$$\widehat{K}_0(\mathcal{X}, C) \times \widehat{K}_0(\mathcal{X}, C') \longrightarrow \widehat{K}_0(\mathcal{X}, C'')$$

described by the rule

$$[\bar{\mathcal{E}}, \eta] \cdot [\bar{\mathcal{E}}', \eta'] = [\bar{\mathcal{E}} \otimes \bar{\mathcal{E}}', c(\text{ch}(\bar{\mathcal{E}})) \bullet \eta' + c'(\text{ch}(\bar{\mathcal{E}}')) \bullet \eta + d_C \eta \bullet \eta'].$$

With respect to this laws, the groups  $\widehat{K}_0$  enjoy of the analogue properties to Proposition 2.8.

Finally, we discuss on the arithmetic Chern character. If  $\mathcal{X}$  is a regular arithmetic variety, we recall there is an isomorphism of rings [11, Thm. 5.5], namely

$$\widehat{\text{ch}} : \widehat{K}_0(\mathcal{X}, \mathcal{D}_a)_{\mathbb{Q}} \longrightarrow \bigoplus_{p \geq 0} \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_a).$$

If  $C$  is a  $\mathcal{D}_a(X)$ -complex, by the presentations (2.4) of  $\widehat{\text{CH}}^*(\mathcal{X}, C)$  and (3.3) of  $\widehat{K}_0$  it is clear that  $\widehat{\text{ch}}$  extends to an isomorphism of groups

$$\widehat{\text{ch}} : \widehat{K}_0(\mathcal{X}, C)_{\mathbb{Q}} \longrightarrow \bigoplus_{p \geq 0} \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, C).$$

Suppose now that  $C, C', C''$  are  $\mathcal{D}_a(X)$ -complexes with a product  $C \otimes C' \rightarrow C''$  as above. Then, it is easily seen that there is a commutative diagram of morphisms of groups

$$\begin{array}{ccc} \widehat{K}_0(\mathcal{X}, C)_{\mathbb{Q}} \times \widehat{K}_0(\mathcal{X}, C')_{\mathbb{Q}} & \longrightarrow & \widehat{K}_0(\mathcal{X}, C'')_{\mathbb{Q}} \\ \downarrow (\widehat{\text{ch}}, \widehat{\text{ch}}) & & \downarrow \widehat{\text{ch}} \\ \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, C) \times \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, C') & \longrightarrow & \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, C''). \end{array}$$

This is in particular true for complexes of currents with controlled wave front set, a result that we next record.

**PROPOSITION 3.2.** — *Let  $S, S'$  be closed conical subsets of  $T_0^*X_{\mathbb{C}}$  invariant under the action of complex conjugation, with  $S \cap (-S') = \emptyset$ . Define  $T = S \cup S' \cup (S + S')$ . If  $\alpha \in \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S))_{\mathbb{Q}}$  and  $\beta \in \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S'))_{\mathbb{Q}}$ , then*

$$\widehat{\text{ch}}(\alpha) \cdot \widehat{\text{ch}}(\beta) = \widehat{\text{ch}}(\alpha \cdot \beta) \in \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, T)).$$

Another feature of the Chern character is its compatibility with pull-back functoriality. Let  $f : \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of regular arithmetic varieties. Let  $C, C'$  be  $\mathcal{D}_a(X)$  and  $\mathcal{D}_a(Y)$  complexes, respectively, together with a morphism of complexes  $f^* : C' \rightarrow C$  satisfying the commutativity (3.4). Then there is a commutative diagram

$$\begin{array}{ccc}
 \widehat{K}_0(\mathcal{Y}, C')_{\mathbb{Q}} & \xrightarrow{f^*} & \widehat{K}_0(\mathcal{X}, C)_{\mathbb{Q}} \\
 \widehat{\text{ch}} \downarrow & & \downarrow \widehat{\text{ch}} \\
 \widehat{\text{CH}}_{\mathbb{Q}}^*(\mathcal{Y}, C') & \xrightarrow{f^*} & \widehat{\text{CH}}_{\mathbb{Q}}^*(\mathcal{X}, C).
 \end{array}$$

Again, the proof is a simple consequence for the known compatibility in the case of the  $\mathcal{D}_a$  arithmetic Chow groups. In particular we have the following proposition.

PROPOSITION 3.3. — *Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of regular arithmetic varieties, and  $S \subset T_0^*Y_{\mathbb{C}}$  a closed conical subset, invariant under complex conjugation and disjoint with the normal directions  $N_f$  of  $f_{\mathbb{C}}$ . Then there is a commutative diagram*

$$\begin{array}{ccc}
 \widehat{K}_0(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S))_{\mathbb{Q}} & \xrightarrow{f^*} & \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, f^*(S)))_{\mathbb{Q}} \\
 \widehat{\text{ch}} \downarrow & & \downarrow \widehat{\text{ch}} \\
 \widehat{\text{CH}}_{\mathbb{Q}}^*(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S)) & \xrightarrow{f^*} & \widehat{\text{CH}}_{\mathbb{Q}}^*(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, f^*(S))).
 \end{array}$$

### 3.2. Arithmetic derived categories

For the problem of defining direct images on arithmetic  $K$ -theory it is useful to deal with arbitrary complexes of coherent sheaves instead of locally free sheaves. We therefore introduce an arithmetic counterpart of our theory of hermitian structures on derived categories of coherent sheaves, developed in [10], and the  $\widehat{\mathbf{D}}^b$  categories in [9]. We then compare this construction to the arithmetic  $K$  groups.

DEFINITION 3.4. — *Let  $S$  be a scheme. We denote by  $\mathbf{D}^b(S)$  the derived category of cohomological complexes of quasi-coherent sheaves with bounded coherent cohomology.*

If  $\mathcal{X}$  is a regular arithmetic variety, every object of  $\mathbf{D}^b(\mathcal{X})$  is quasi-isomorphic to a bounded cohomological complex of locally free sheaves. One checks there is a well defined map

$$\text{Ob}\mathbf{D}^b(\mathcal{X}) \longrightarrow K_0(\mathcal{X})$$

that sends an object  $\mathcal{F}^*$  to the class  $\sum_i (-1)^i [\mathcal{E}^i]$ , where  $\mathcal{E}^*$  is quasi-isomorphic to  $\mathcal{F}^*$ , and that is compatible with derived tensor products on  $\mathbf{D}^b(\mathcal{X})$  and

the ring structure on  $K_0(\mathcal{X})$ . Our aim is thus to extend this picture and incorporate hermitian structures.

Let us consider the complex quasi-projective manifold  $X_{\mathbb{C}}$  associated to an arithmetic variety  $\mathcal{X}$ . It comes equipped with the conjugate-linear involution  $F_{\infty}$ . Recall the data  $X = (X_{\mathbb{C}}, F_{\infty})$  uniquely determines a smooth quasi-projective scheme  $X_{\mathbb{R}}$  over  $\mathbb{R}$ , whose base change to  $\mathbb{C}$  is isomorphic to  $X_{\mathbb{C}}$ , and such that its natural automorphism given by complex conjugation gets identified to  $F_{\infty}$ . The abelian category of quasi-coherent (resp. coherent) sheaves over  $X_{\mathbb{R}}$  is equivalent to the category of quasi-coherent (resp. coherent) sheaves over  $X_{\mathbb{C}}$ , equivariant with respect to the action of  $F_{\infty}$ . Namely, giving a quasi-coherent (resp. coherent) sheaf on  $X_{\mathbb{R}}$  is equivalent to giving a quasi-coherent (resp. coherent) sheaf  $\mathcal{F}$  on  $X_{\mathbb{C}}$ , together with a morphism of sheaves

$$\alpha: \mathcal{F} \longrightarrow F_{\infty*}\mathcal{F}$$

compatible with the conjugate-linear morphism

$$F_{\infty}^{\sharp}: \mathcal{O}_{X_{\mathbb{C}}} \longrightarrow F_{\infty*}\mathcal{O}_{X_{\mathbb{C}}}$$

induced by the morphism of  $\mathbb{R}$ -schemes  $F_{\infty}: X_{\mathbb{C}} \rightarrow X_{\mathbb{C}}$ , satisfying  $(F_{\infty*}\alpha) \circ \alpha = \text{id}$ . A similar condition characterizes morphisms of quasi-coherent (resp. coherent) sheaves. Therefore, we denote the bounded derived category of coherent sheaves on  $X_{\mathbb{R}}$  just by  $\mathbf{D}^b(X)$ .

The theory of hermitian structures on the bounded derived category of coherent sheaves on a complex algebraic manifold developed in [10] can be adapted to the real situation of  $\mathbf{D}^b(X)$ , by considering hermitian structures invariant under the action of complex conjugation. All the results in *loc. cit.* carry over to the real case. We denote by  $\overline{\mathbf{D}}^b(X)$  the category whose objects are objects of  $\mathbf{D}^b(X)$  endowed with a hermitian structure (*loc. cit.*, Def. 3.10) invariant under complex conjugation, and whose morphisms are just morphisms in  $\mathbf{D}^b(X)$ . Thus, every object  $\overline{\mathcal{F}}^*$  in  $\overline{\mathbf{D}}^b(X)$  is represented by a quasi-isomorphism  $\overline{\mathcal{E}}^* \dashrightarrow \mathcal{F}^*$ , where  $\overline{\mathcal{E}}^*$  is a bounded complex of hermitian locally free sheaves on  $X_{\mathbb{C}}$ , equivariant under  $F_{\infty}$ . There is an obvious forgetful functor  $\mathfrak{F}: \overline{\mathbf{D}}^b(\mathfrak{X}) \rightarrow \mathbf{D}^b(\mathfrak{X})$ , that makes of  $\overline{\mathbf{D}}^b(X)$  a principal fibered category over  $\mathbf{D}^b(X)$ , with structural group  $\overline{\mathbf{KA}}(X)$ , the group of hermitian structures over the 0 object [10, Def. 2.34, Thm. 3.13].

Base change to  $\mathbb{R}$  induces a covariant functor  $\mathbf{D}^b(\mathcal{X}) \rightarrow \mathbf{D}^b(X)$ .

DEFINITION 3.5. — We define the category  $\overline{\mathbf{D}}^b(\mathcal{X})$  as the fiber product category

$$\begin{array}{ccc} \mathbf{D}^b(\mathcal{X}) \times_{\mathbf{D}^b(X)} \overline{\mathbf{D}}^b(X) & \longrightarrow & \overline{\mathbf{D}}^b(X) \\ \downarrow & & \downarrow \mathfrak{F} \\ \mathbf{D}^b(\mathcal{X}) & \longrightarrow & \mathbf{D}^b(X). \end{array}$$

We still denote by  $\mathfrak{F}$  the forgetful functor  $\overline{\mathbf{D}}^b(\mathcal{X}) \rightarrow \mathbf{D}^b(X)$ .

By construction,  $\mathfrak{F}$  makes of  $\overline{\mathbf{D}}^b(\mathcal{X})$  a principal fibered category over  $\mathbf{D}^b(X)$ , with structure group  $\overline{\mathbf{KA}}(X)$ . In particular,  $\overline{\mathbf{KA}}(X)$  acts on  $\overline{\mathbf{D}}^b(\mathcal{X})$ .

The Bott-Chern secondary character  $\tilde{\text{ch}}$  can be defined at the level of  $\overline{\mathbf{KA}}$  groups [10, Sec. 4, Def. 4.6]. In our situation, we actually have a morphism of groups

$$\tilde{\text{ch}} : \overline{\mathbf{KA}}(X) \longrightarrow \bigoplus_p \widehat{\mathcal{D}}_a^{2p-1}(X, p). \quad (3.6)$$

More generally, if  $C$  is a  $\mathcal{D}_a(X)$ -complex, we may consider a secondary Chern character with values in  $\bigoplus_p \widehat{\mathcal{C}}^{2p-1}(p)$ , that we denote  $\tilde{\text{ch}}_C$ . In particular,  $\overline{\mathbf{KA}}(X)$  acts on  $\bigoplus_p \widehat{\mathcal{C}}^{2p-1}(p)$  through  $\tilde{\text{ch}}_C$ .

DEFINITION 3.6. — *The arithmetic derived category  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$  is defined as the cartesian product*

$$\overline{\mathbf{D}}^b(\mathcal{X}) \times_{\overline{\mathbf{KA}}(X), \tilde{\text{ch}}_C} \bigoplus_p \widehat{\mathcal{C}}^{2p-1}(p).$$

Remark 3.7. — If  $\mathcal{X}$  is regular, then every object of  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$  can be represented by

$$(\mathcal{F}^*, \overline{\mathcal{E}}_C \longrightarrow \mathcal{F}_C^*, \tilde{\eta})$$

where  $\mathcal{E}^* \dashrightarrow \mathcal{F}^*$  is any quasi-isomorphism from a bounded complex of locally free sheaves over  $\mathcal{X}$ . Indeed,  $\mathcal{X}$  is assumed to be regular, so that  $\mathcal{F}^*$  is quasi-isomorphic to a bounded complex of locally free sheaves  $\mathcal{E}^*$ . One then endows the  $\mathcal{E}^i$  with smooth hermitian metrics invariant under complex conjugation, and takes into account that  $\overline{\mathbf{KA}}(X)$  acts transitively on the hermitian structures on  $\mathcal{F}_C^*$ . We introduce the simplified notation  $(\overline{\mathcal{E}}^* \dashrightarrow \mathcal{F}, \tilde{\eta})$  for such representatives.

The categories  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$  are the arithmetic analogues to those we introduced in [9, Sec. 4], and have similar properties. We are only going to review some of them.

For the next proposition, we recall that any group can be considered as a category, with morphisms given by the group law. This is in particular the case of  $\widehat{K}_0(\mathcal{X}, C)$ .

**THEOREM 3.8.** — *If  $\mathcal{X}$  is regular, there is a natural functor*

$$\widehat{\mathbf{D}}^b(\mathcal{X}, C) \longrightarrow \widehat{K}_0(\mathcal{X}, C),$$

that, at the level of objects is given by

$$(\overline{\mathcal{E}}^* \longrightarrow \mathcal{F}, \tilde{\eta}) \longmapsto \left[ \sum_i (-1)^i \overline{\mathcal{E}}^i, \tilde{\eta} \right],$$

and at the level of morphism sends any  $f \in \text{Hom}_{\widehat{\mathbf{D}}^b(\mathcal{X}, C)}(A, B)$  to  $[B] - [A]$ .

*Proof.* — It is enough to see that the defining assignment does not depend on the representatives. For this, take two objects  $(\overline{\mathcal{E}}^* \longrightarrow \mathcal{F}^*, \tilde{\eta})$  and  $(\overline{\mathcal{E}}'^* \longrightarrow \mathcal{F}'^*, \tilde{\eta}')$  giving raise to the same class. We have an equivalence of objects

$$\begin{aligned} (\overline{\mathcal{E}}^* \longrightarrow \mathcal{F}^*, \tilde{\eta}) &\sim (\overline{\mathcal{E}}'^* \longrightarrow \mathcal{F}'^*, \tilde{\eta}') \\ &\sim (\overline{\mathcal{E}}^* \longrightarrow \mathcal{F}^*, \tilde{\eta}' + \text{c}(\tilde{\text{ch}}(\text{id}: \overline{\mathcal{F}}^* \rightarrow \overline{\mathcal{F}}'^*))). \end{aligned}$$

Consequently

$$\tilde{\eta} = \tilde{\eta}' + \text{c}(\tilde{\text{ch}}(\text{id}: \overline{\mathcal{F}}^* \rightarrow \overline{\mathcal{F}}'^*)).$$

Hence, the image of  $(\overline{\mathcal{E}}^* \longrightarrow \mathcal{F}^*, \tilde{\eta})$  is equivalently written

$$\left[ \sum_i (-1)^i \overline{\mathcal{E}}^i, \tilde{\eta}' + \text{c}(\tilde{\text{ch}}(\text{id}: \overline{\mathcal{F}}^* \rightarrow \overline{\mathcal{F}}'^*)) \right].$$

We thus have to show the equality in  $\widehat{K}_0(\mathcal{X}, C)$

$$[0, \text{c}(\tilde{\text{ch}}(\text{id}: \overline{\mathcal{F}}^* \rightarrow \overline{\mathcal{F}}'^*))] \stackrel{?}{=} \left[ \sum_i (-1)^i \overline{\mathcal{E}}'^i, 0 \right] - \left[ \sum_i (-1)^i \overline{\mathcal{E}}^i, 0 \right].$$

By [10, Lemma 3.5], the quasi-isomorphism  $\overline{\mathcal{E}}^* \dashrightarrow \overline{\mathcal{E}}'^*$  inducing the identity on  $\mathcal{F}^*$  can be lifted to a diagram

$$\begin{array}{ccc} & \overline{\mathcal{E}}''^* & \\ a \swarrow & & \searrow b \\ \overline{\mathcal{E}}^* & & \overline{\mathcal{E}}'^* \end{array}$$



where  $a$  and  $b$  are quasi-isomorphisms and  $\overline{\text{cone}}(a)$  is meager [10, Def. 2.9]. On the one hand, by the characterization [10, Thm. 2.13] of meager complexes, one can show

$$\left[ \sum_i (-1)^i \overline{\text{cone}}(a)^i, 0 \right] = 0.$$

On the other hand, we have an exact sequence of complexes

$$0 \rightarrow \overline{\mathcal{E}}^* \rightarrow \overline{\text{cone}}(a) \rightarrow \overline{\mathcal{E}}''^*[1] \rightarrow 0,$$

whose constituent rows are orthogonally split. This shows

$$\left[ \sum_i (-1)^i \overline{\mathcal{E}}^i, 0 \right] - \left[ \sum_i (-1)^i \overline{\mathcal{E}}''^i, 0 \right] = \left[ \sum_i (-1)^i \overline{\text{cone}}(a)^i, 0 \right] = 0. \quad (3.7)$$

Similarly we have

$$\left[ \sum_i (-1)^i \overline{\mathcal{E}}'^i, 0 \right] - \left[ \sum_i (-1)^i \overline{\mathcal{E}}''^i, 0 \right] = \left[ \sum_i (-1)^i \overline{\text{cone}}(b)^i, 0 \right]. \quad (3.8)$$

But the complex underlying  $\overline{\text{cone}}(b)$  is acyclic, so that

$$\left[ \sum_i (-1)^i \overline{\text{cone}}(b)^i, 0 \right] = [0, c(\widetilde{\text{ch}}(\overline{\text{cone}}(b)))]. \quad (3.9)$$

Finally, by [10, Def. 3.14, Thm. 4.11] we have

$$\widetilde{\text{ch}}(\text{id} : \overline{\mathcal{F}}^* \rightarrow \overline{\mathcal{F}}'^*) = \widetilde{\text{ch}}(\overline{\text{cone}}(b)). \quad (3.10)$$

Putting (3.7)–(3.10) together allows to conclude.  $\square$

*Notation 3.9.* — Let  $\mathcal{X}$  be a regular arithmetic variety. Then we still denote the image of an object  $[\overline{\mathcal{F}}^*, \widehat{\eta}] \in \text{Ob} \widehat{\mathbf{D}}^b(\mathcal{X}, C)$  by the morphism of the proposition by  $[\overline{\mathcal{F}}^*, \widehat{\eta}]$ . We call this image the class of  $[\overline{\mathcal{F}}^*, \widehat{\eta}]$  in arithmetic  $K$ -theory.

*Remark 3.10.* — Two tightly isomorphic objects in  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$  have the same class in arithmetic  $K$ -theory.

Let  $f : \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of regular arithmetic varieties and let  $C, C'$  be  $\mathcal{D}_a(X)$  and  $\mathcal{D}_a(Y)$  complexes respectively, with a commutative diagram as in (3.4). Then there is a commutative diagram of functors

$$\begin{array}{ccc} \widehat{\mathbf{D}}^b(\mathcal{Y}, C') & \xrightarrow{f^*} & \widehat{\mathbf{D}}^b(\mathcal{X}, C) \\ \downarrow & & \downarrow \\ \widehat{K}_0(\mathcal{Y}, C') & \xrightarrow{f^*} & \widehat{K}_0(\mathcal{X}, C). \end{array}$$

The following statement is a particular case.

PROPOSITION 3.11. — *Let  $f : \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of regular arithmetic varieties, and  $S \subset T_0^*Y_{\mathbb{C}}$  a closed conical subset invariant under complex conjugation, disjoint with the normal directions  $N_f$  of  $f_{\mathbb{C}}$ . Then there is a commutative diagram*

$$\begin{array}{ccc} \widehat{\mathbf{D}}^b(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S)) & \xrightarrow{f^*} & \widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, f^*(S))) \\ \downarrow & & \downarrow \\ \widehat{K}_0(\mathcal{Y}, \mathcal{D}_{\text{cur},a}(Y, S)) & \xrightarrow{f^*} & \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, f^*(S))). \end{array}$$

If  $C, C', C''$  are  $\mathcal{D}_a(X)$ -complexes with a product  $C \otimes C' \rightarrow C''$  compatible with the product of  $\mathcal{D}_a(X)$  and  $\mathcal{X}$  is regular, then there is a commutative diagram of functors

$$\begin{array}{ccc} \widehat{\mathbf{D}}^b(\mathcal{X}, C) \times \widehat{\mathbf{D}}^b(\mathcal{X}, C') & \xrightarrow{\otimes} & \widehat{\mathbf{D}}^b(\mathcal{X}, C'') \\ \downarrow & & \downarrow \\ \widehat{K}_0(\mathcal{X}, C) \times \widehat{K}_0(\mathcal{X}, C') & \longrightarrow & \widehat{K}_0(\mathcal{X}, C''), \end{array}$$

where the derived tensor product  $\otimes$  is defined by

$$[\overline{\mathcal{F}}, \eta] \otimes [\overline{\mathcal{G}}, \nu] = [\overline{\mathcal{F}} \otimes \overline{\mathcal{G}}, c(\text{ch}(\overline{\mathcal{F}})) \bullet \nu + \eta \bullet c'(\text{ch}(\overline{\mathcal{G}})) + d_C \eta \bullet \nu]$$

PROPOSITION 3.12. — *Let  $S, S'$  be closed conical subsets of  $T_0^*X_{\mathbb{C}}$  invariant under the action of complex conjugation, with  $S \cap (-S') = \emptyset$ . Define  $T = S \cup S' \cup (S + S')$ . If  $\mathcal{X}$  is regular, then there is a commutative diagram of functors*

$$\begin{array}{ccc} \widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S)) \times \widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S')) & \xrightarrow{\otimes} & \widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, T)) \\ \downarrow & & \downarrow \\ \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S)) \times \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, S')) & \longrightarrow & \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur},a}(X, T)). \end{array}$$

Furthermore, it is compatible with pull-back  $f^*$  whenever defined.

Finally, the class functor and the arithmetic Chern character on arithmetic  $K$  groups, allow to extend it to arithmetic derived categories.

*Notation 3.13.* — Let  $\mathcal{X}$  be a regular arithmetic variety and  $C$  a  $\mathcal{D}_a(X)$  complex. We denote by

$$\widehat{\text{ch}} : \widehat{\mathbf{D}}^b(\mathcal{X}, C) \longrightarrow \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, C)$$

the arithmetic Chern character on  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$ , obtained as the composition of the class functor and  $\widehat{\text{ch}}$  on  $\widehat{K}_0(\mathcal{X}, C)$ .

#### 4. Arithmetic characteristic classes

Let  $\mathcal{X}$  be a regular arithmetic variety. From the previous sections, there exists a natural functor

$$\overline{\mathbf{D}}^b(\mathcal{X}) \longrightarrow \widehat{K}_0(\mathcal{X}, \mathcal{D}_a(X))$$

that factors through  $\widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_a(X))$ , and there is a ring isomorphism

$$\widehat{\text{ch}} : \widehat{K}_0(\mathcal{X}, \mathcal{D}_a(X))_{\mathbb{Q}} \longrightarrow \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_a(X)).$$

We therefore obtain a functor

$$\widehat{\text{ch}} : \overline{\mathbf{D}}^b(\mathcal{X}) \longrightarrow \bigoplus_p \widehat{\text{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_a(X))$$

automatically satisfying several compatibilities with the operations in  $\overline{\mathbf{D}}^b(\mathcal{X})$  and distinguished triangles. More generally, in this section we construct arithmetic characteristic classes attached to real additive or multiplicative genera. The case of the arithmetic Todd class will be specially relevant, since it is involved in the arithmetic Riemann-Roch theorem. Our construction relies on the one given by Gillet-Soulé [18].

Let  $B$  be a subring of  $\mathbb{R}$  and  $\varphi \in B[[x]]$  a real power series, defining an additive genus. For each hermitian vector bundle  $\overline{\mathcal{E}}$ , in [18], there is attached a class  $\widehat{\varphi}(\overline{\mathcal{E}}) \in \widehat{\text{CH}}_B^*(\mathcal{X})$ . By the isomorphism [11, Theorem 3.33] we obtain a class  $\widehat{\varphi}(\overline{\mathcal{E}}) \in \widehat{\text{CH}}_B^*(\mathcal{X}, \mathcal{D}_a(X))$ .

For every finite complex of smooth hermitian vector bundles  $\overline{\mathcal{E}}^*$ , we put

$$\widehat{\varphi}(\overline{\mathcal{E}}^*) = \sum_i (-1)^i \widehat{\varphi}(\overline{\mathcal{E}}^i) \in \bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X)).$$

Let us now consider an object  $\overline{\mathcal{F}}^*$  in  $\overline{\mathbf{D}}^b(\mathcal{X})$ . We choose an auxiliary quasi-isomorphism  $\psi : \mathcal{E}^* \dashrightarrow \mathcal{F}^*$ , where  $\mathcal{E}^*$  is a bounded complex of locally free sheaves on  $\mathcal{X}$ . This is possible since  $\mathcal{X}$  is regular by assumption. We also fix auxiliary smooth hermitian metrics on the individual terms  $\mathcal{E}^i$ . We thus obtain an isomorphism  $\overline{\psi} : \overline{\mathcal{E}}^* \dashrightarrow \overline{\mathcal{F}}^*$  that in general is not tight. The lack of tightness is measured by a class  $[\overline{\psi}_C] \in \overline{\mathbf{KA}}(X)$ , that we simply denote  $[\overline{\psi}]$  [10, Sec. 3]. Recall that Bott–Chern secondary classes can be defined at the level of  $\overline{\mathbf{KA}}(X)$  (see *loc. cit.* Sec. 4, and especially the characterization given in Prop. 4.6). In particular we have a class

$$\widetilde{\varphi}(\overline{\psi}) := \widetilde{\varphi}([\overline{\psi}]) \in \bigoplus_p \widetilde{\mathcal{D}}_a^{2p-1}(X, p).$$

LEMMA 4.1. — *The class*

$$\widehat{\varphi}(\overline{\mathcal{E}}^*) + a(\widetilde{\varphi}(\overline{\psi})) \in \bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X)) \tag{4.1}$$

*depends only on  $\overline{\mathcal{F}}^*$ .*

*Proof.* — Let  $\psi' : \mathcal{E}'^* \dashrightarrow \mathcal{F}^*$  be another finite locally free resolution, and choose arbitrary metrics on the  $\mathcal{E}'^i$ . We can construct a commutative diagram of complexes in  $\mathbf{D}^b(\mathcal{X})$

$$\begin{array}{ccc}
 & \mathcal{E}''^* & \\
 \alpha \swarrow & & \searrow \beta \\
 \mathcal{E}^* & & \mathcal{E}'^* \\
 \downarrow \psi & & \downarrow \psi' \\
 \mathcal{F}^* & \xrightarrow{\text{id}} & \mathcal{F}^*
 \end{array} \tag{4.2}$$

where  $\mathcal{E}''$  is also a finite complex of locally free sheaves, that we endow with smooth hermitian metrics, and  $\alpha, \beta$  are quasi-isomorphisms. Because the exact sequences

$$\begin{aligned}
 0 \rightarrow \overline{\mathcal{E}}^* &\rightarrow \overline{\text{cone}}(\alpha) \rightarrow \overline{\mathcal{E}}''^*[1] \rightarrow 0, \\
 0 \rightarrow \overline{\mathcal{E}}'^* &\rightarrow \overline{\text{cone}}(\beta) \rightarrow \overline{\mathcal{E}}''^*[1] \rightarrow 0
 \end{aligned}$$

have orthogonally split constituent rows, we find

$$\widehat{\varphi}(\overline{\text{cone}}(\alpha)) = \widehat{\varphi}(\overline{\mathcal{E}}^*) - \widehat{\varphi}(\overline{\mathcal{E}}'^{*}), \quad (4.3)$$

$$\widehat{\varphi}(\overline{\text{cone}}(\beta)) = \widehat{\varphi}(\overline{\mathcal{E}}'^{*}) - \widehat{\varphi}(\overline{\mathcal{E}}'^{**}). \quad (4.4)$$

Also, the complexes  $\text{cone}(\alpha)$  and  $\text{cone}(\beta)$  are acyclic, so that

$$\widehat{\varphi}(\overline{\text{cone}}(\alpha)) = \mathbf{a}(\widetilde{\varphi}(\overline{\text{cone}}(\alpha))) = \mathbf{a}(\widetilde{\varphi}(\overline{\alpha})), \quad (4.5)$$

$$\widehat{\varphi}(\overline{\text{cone}}(\beta)) = \mathbf{a}(\widetilde{\varphi}(\overline{\text{cone}}(\beta))) = \mathbf{a}(\widetilde{\varphi}(\overline{\beta})), \quad (4.6)$$

where we took into account the very definition of the class of an isomorphism in  $\overline{\mathbf{D}}^b(X)$ . From the relations (4.3)–(4.6) we derive

$$\widehat{\varphi}(\overline{\mathcal{E}}^*) - \widehat{\varphi}(\overline{\mathcal{E}}'^{*}) = \mathbf{a}(\widetilde{\varphi}(\overline{\alpha}) - \widetilde{\varphi}(\overline{\beta})) = \mathbf{a}(\widetilde{\varphi}(\overline{\alpha} \circ \overline{\beta}^{-1})), \quad (4.7)$$

where we plugged  $\widetilde{\varphi}(\overline{\alpha} \circ \overline{\beta}^{-1}) = \widetilde{\varphi}(\overline{\alpha}) - \widetilde{\varphi}(\overline{\beta})$  [10, Prop. 4.13]. But by diagram (4.2) we have  $\overline{\alpha} \circ \overline{\beta}^{-1} = \overline{\psi}^{-1} \circ \overline{\psi}'$ . This fact combined with (4.7) implies

$$\begin{aligned} \widehat{\varphi}(\overline{\mathcal{E}}^*) - \widehat{\varphi}(\overline{\mathcal{E}}'^{*}) &= \mathbf{a}(\widetilde{\varphi}(\overline{\alpha}) - \widetilde{\varphi}(\overline{\beta})) \\ &= \mathbf{a}(\widetilde{\varphi}(\overline{\psi}^{-1} \circ \overline{\psi}')) \\ &= \mathbf{a}(\widetilde{\varphi}(\overline{\psi}')) - \mathbf{a}(\widetilde{\varphi}(\overline{\psi})). \end{aligned}$$

This completes the proof of the lemma.  $\square$

DEFINITION 4.2. — *The notations being as above, we define*

$$\widehat{\varphi}(\overline{\mathcal{F}}^*) := \widehat{\varphi}(\overline{\mathcal{E}}^*) + \mathbf{a}(\widetilde{\varphi}(\overline{\psi})) \in \bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X)),$$

The additive arithmetic characteristic classes are indeed additive with respect to direct sum, and are compatible with pull-back by morphisms of arithmetic varieties. However, the most important property of additive arithmetic characteristic classes is the behavior with respect to distinguished triangles. The reader may review [10, Def. 3.29, Thm. 3.33, Def. 4.17, Thm. 4.18] for definitions and main properties, especially for the class in  $\overline{\mathbf{KA}}(X)$  and secondary class of a distinguished triangle.

THEOREM 4.3. — *Let us consider a distinguished triangle in  $\overline{\mathbf{D}}^b(\mathcal{X})$ :*

$$\overline{\tau}: \overline{\mathcal{F}}^* \longrightarrow \overline{\mathcal{G}}^* \longrightarrow \overline{\mathcal{H}}^* \longrightarrow \overline{\mathcal{F}}^*[1].$$

Then we have

$$\widehat{\varphi}(\overline{\mathcal{F}}^*) - \widehat{\varphi}(\overline{\mathcal{G}}^*) + \widehat{\varphi}(\overline{\mathcal{H}}^*) = \mathbf{a}(\widetilde{\varphi}(\overline{\tau}))$$

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in  $\bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X))$ . In particular, if  $\bar{\tau}$  is tightly distinguished, we have

$$\widehat{\varphi}(\overline{\mathcal{G}}^*) = \widehat{\varphi}(\overline{\mathcal{F}}^*) + \widehat{\varphi}(\overline{\mathcal{H}}^*).$$

*Proof.* — It is possible to find a diagram

$$\begin{array}{ccccccc} \bar{\eta}: & \mathcal{E}^* & \xrightarrow{\alpha} & \mathcal{E}'^* & \longrightarrow & \text{cone}(\alpha) & \longrightarrow & \mathcal{E}^*[1] \\ & | & & | & & | & & | \\ & |f| & & |g| & & |h| & & |f[1]| \\ \bar{\tau}: & \mathcal{F}^* & \dashrightarrow & \mathcal{G}^* & \dashrightarrow & \mathcal{H}^* & \dashrightarrow & \mathcal{F}^*[1], \end{array}$$

where  $\mathcal{E}^*$ ,  $\mathcal{E}'^*$  are bounded complexes of locally free sheaves and the vertical arrows are isomorphisms in  $\mathbf{D}^b(\mathcal{X})$ . We choose arbitrary smooth hermitian metrics on the  $\mathcal{E}^i$ ,  $\mathcal{E}'^j$ , and put the orthogonal sum metric on  $\text{cone}(\alpha)$ . Then, by construction of the arithmetic characteristic classes, we have

$$\begin{aligned} \widehat{\varphi}(\overline{\mathcal{F}}^*) - \widehat{\varphi}(\overline{\mathcal{G}}^*) + \widehat{\varphi}(\overline{\mathcal{H}}^*) &= \widehat{\varphi}(\overline{\mathcal{E}}^*) - \widehat{\varphi}(\overline{\mathcal{E}}'^*) + \widehat{\varphi}(\overline{\text{cone}(\alpha)}) \\ &+ a(\tilde{\varphi}(\bar{f}) - \tilde{\varphi}(\bar{g}) + \tilde{\varphi}(\bar{h})). \end{aligned}$$

Because the exact sequence

$$0 \rightarrow \overline{\mathcal{E}}'^* \rightarrow \overline{\text{cone}(\alpha)} \rightarrow \overline{\mathcal{E}}^*[1] \rightarrow 0$$

has orthogonally split constituent rows, we observe

$$\widehat{\varphi}(\overline{\mathcal{E}}^*) - \widehat{\varphi}(\overline{\mathcal{E}}'^*) + \widehat{\varphi}(\overline{\text{cone}(\alpha)}) = 0.$$

Moreover, by [10, Thm 3.33 (vii)] the equality

$$\tilde{\varphi}(\bar{f}) - \tilde{\varphi}(\bar{g}) + \tilde{\varphi}(\bar{h}) = \tilde{\varphi}(\bar{\tau}) - \tilde{\varphi}(\bar{\eta}),$$

holds, and  $\tilde{\varphi}(\bar{\eta}) = 0$  since  $\bar{\eta}$  is tightly distinguished. The theorem now follows.  $\square$

We may also say a few words on multiplicative arithmetic characteristic classes. We follow the discussion in [10, Sec. 5]. Assume from now on that  $\mathbb{Q} \subset B$ . Let  $\psi \in B[[x]]$  be a formal power series with  $\psi^0 = 1$ . We denote also by  $\psi$  the associated multiplicative genus. Then

$$\varphi = \log(\psi) \in B[[x]]$$

defines an additive genus, to which we can associate an additive arithmetic characteristic genus  $\widehat{\varphi}$ . Then, given an object  $\overline{\mathcal{F}}^*$  in  $\overline{\mathbf{D}}^b(\mathcal{X})$ , we have a well defined class

$$\widehat{\psi}_m(\overline{\mathcal{F}}^*) := \exp(\widehat{\varphi}(\overline{\mathcal{F}}^*)) \in \bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X)).$$

Observe this construction uses the ring structure of  $\bigoplus_p \widehat{\text{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X))$ , hence the regularity of  $\mathcal{X}$  and the product structure of  $\mathcal{D}_a(X)$ . In case  $\psi$  has rational coefficients,  $\widehat{\psi}(\overline{\mathcal{F}}^*)$  takes values in  $\bigoplus_p \widehat{\text{CH}}_Q^p(\mathcal{X}, \mathcal{D}_a(X))$ . We also recall that there is a multiplicative secondary class associated to  $\psi$ , denoted  $\widetilde{\psi}_m$ , that can be expressed in terms of  $\widetilde{\varphi}$ :

$$\widetilde{\psi}_m(\theta) = \frac{\exp(\varphi(\theta)) - 1}{\varphi(\theta)} \widetilde{\varphi}(\theta),$$

where  $\theta$  is any class in  $\overline{\mathbf{KA}}(X)$ . If  $\overline{\tau}$  is a distinguished triangle in  $\overline{\mathbf{D}}^b(\mathcal{X})$ , we will simply write  $\widetilde{\psi}_m(\overline{\tau})$  instead of  $\widetilde{\psi}_m([\overline{\tau}])$ .

**THEOREM 4.4.** — *Let  $\psi$  be a multiplicative genus, with degree 0 component  $\psi^0 = 1$ . Then, for every distinguished triangle in  $\overline{\mathbf{D}}^b(\mathcal{X})$*

$$\overline{\tau}: \overline{\mathcal{F}}^* \dashrightarrow \overline{\mathcal{G}}^* \dashrightarrow \overline{\mathcal{H}}^* \dashrightarrow \overline{\mathcal{F}}[1]$$

*we have the relation*

$$\widehat{\psi}(\overline{\mathcal{F}}^*)^{-1} \widehat{\psi}(\overline{\mathcal{G}}^*) \widehat{\psi}(\overline{\mathcal{H}}^*)^{-1} - 1 = a(\widetilde{\psi}_m(\overline{\tau})).$$

*In particular, if  $\overline{\tau}$  is tightly distinguished, the equality*

$$\widehat{\psi}(\overline{\mathcal{G}}^*) = \widehat{\psi}(\overline{\mathcal{F}}^*) \widehat{\psi}(\overline{\mathcal{H}}^*)$$

*holds.*

*Proof.* — It is enough to exponentiate the relation provided by Theorem 4.3 and observe that, due to the mutliplicative law in  $\bigoplus_p \widehat{\text{CH}}^p(\mathcal{X}, \mathcal{D}_a(X))$ , one has

$$\exp(a(\widetilde{\varphi}(\overline{\tau}))) = 1 + a\left(\frac{\exp(\varphi(\overline{\tau})) - 1}{\exp(\varphi(\overline{\tau}))} \widetilde{\varphi}(\overline{\tau})\right).$$

□

*Example 4.5.* —

- (i) The arithmetic Chern class, is the additive class attached to the additive genus  $\text{ch}(x) = e^x$ . It coincides with the character  $\widehat{\text{ch}}$  of the previous section.
- (ii) The arithmetic Todd class, is the multiplicative class attached to the genus

$$\text{Td}(x) = \frac{x}{1 - e^{-x}}.$$

Observe that the formal series of  $\text{Td}(x)$  has constant coefficient 1.

*Remark 4.6.* — If  $C$  is a  $\mathcal{D}_a(X)$ -complex, we can define arithmetic characteristic classes with values in  $\bigoplus_p \widehat{\mathrm{CH}}_B^p(\mathcal{X}, C)$ , just taking their image by the natural morphism  $\bigoplus_p \widehat{\mathrm{CH}}_B^p(\mathcal{X}, \mathcal{D}_a(X)) \rightarrow \bigoplus_p \widehat{\mathrm{CH}}_B^p(\mathcal{X}, C)$ . We will use the same notations to refer to these classes.

## 5. Direct images and generalized analytic torsion

In the preceding section we introduced the arithmetic  $K$ -groups and the arithmetic derived categories. They satisfy elementary functoriality properties and are related by a class functor. A missing functoriality is the push-forward by *arbitrary* projective morphisms of arithmetic varieties. Similar to the work of Gillet–Rössler–Soulé [16], we will define direct images after choosing a generalized analytic torsion theory in the sense of [9]. Our theory is more general in that we don't require our morphisms to be smooth over the generic fiber. At the archimedean places, we are thus forced to work with complexes of currents with controlled wave front sets. In this level of generality, the theory of arithmetic Chow groups, arithmetic  $K$ -theory and arithmetic derived categories has already been discussed. Let us recall that a generalized analytic torsion theory is not unique, but according to [9, Thm. 7.7 and Thm. 7.14] it is classified by a real additive genus.

Our theory of generalized analytic torsion classes involves the notion of relative metrized complex [9, Def. 2.5]. In the sequel we will need a variant on real smooth quasi-projective schemes  $X = (X_{\mathbb{C}}, F_{\infty})$ . With respect to *loc. cit.*, this amounts to imposing an additional invariance under the action of  $F_{\infty}$ .

**DEFINITION 5.1.** — *A real relative metrized complex is a triple  $\bar{\xi} = (\bar{f}, \bar{\mathcal{F}}^*, \overline{f_*\mathcal{F}^*})$ , where*

- $\bar{f} : X \rightarrow Y$  is a projective morphism of real smooth quasi-projective varieties, together with a hermitian structure on the tangent complex  $T_{\bar{f}}$ , invariant under the action of complex conjugation;
- $\bar{\mathcal{F}}^*$  is an object in  $\overline{\mathbf{D}}^b(X)$ ;
- $\overline{f_*\mathcal{F}^*}$  is an object in  $\overline{\mathbf{D}}^b(Y)$  lying over  $f_*\mathcal{F}^*$ .

The following lemma is checked by a careful proof reading of the construction of generalized analytic torsion classes in [9].

**LEMMA 5.2.** — *Let  $T$  be a theory of generalized analytic torsion classes. Then, for every real relative metrized complex  $\bar{\xi} = (\bar{f} : X \rightarrow Y, \bar{\mathcal{F}}^*, \overline{f_*\mathcal{F}^*})$ ,*



$T(\bar{\xi})$  is a class of real currents,

$$T(\bar{\xi}) \in \bigoplus_p \tilde{\mathcal{D}}_{\text{cur},a}^{2p-1}(X, N_f, p),$$

where  $N_f$  is the cone of normal directions to  $f$ .

It will be useful to have an adaptation of the category  $\overline{\mathbf{Sm}}_{*/\mathbb{C}}$  [10, Sec. 5] to real quasi-projective schemes, that we denote  $\overline{\mathbf{Sm}}_{*/\mathbb{R}}$ . In this category, the objects are real smooth quasi-projective schemes, and the morphisms are projective morphisms with a hermitian structure invariant under complex conjugation. The composition law is then described in *loc. cit.*, Def. 5.7, with the help of the hermitian cone construction. We will follow the notation introduced in [9, Def. 2.12].

*Notation 5.3.* — Let  $\bar{f}: X \rightarrow Y$  be in  $\overline{\mathbf{Sm}}_{*/\mathbb{R}}$ , of pure relative dimension  $e$ ,  $C$  a  $\mathcal{D}_a(X)$ -complex,  $C'$  a  $\mathcal{D}_a(Y)$ -complex and  $f_*: C \rightarrow C'$  a morphism fitting into the commutative diagram (2.12). Assume furthermore that  $C$  is a  $\mathcal{D}_a(X)$ -module with a product law  $\bullet$  as in (3.5). Then we put

$$\begin{aligned} \bar{f}_b: C^*(*) &\longrightarrow C'^{-2e}(p-e) \\ \eta &\longmapsto f_*(\eta \bullet \text{Td}(T_{\bar{f}})). \end{aligned}$$

This morphism induces a corresponding morphism on  $\tilde{C}^*(*)$ , for which we use the same notation.

For an arithmetic ring  $A$ , we introduce  $\overline{\mathbf{Reg}}_{*/A}$  the category of quasi-projective regular arithmetic varieties over  $A$ , with projective morphisms endowed (at the archimedean places) with a hermitian structure invariant under complex conjugation. By construction, there is a natural base change functor

$$\overline{\mathbf{Reg}}_{*/A} \longrightarrow \overline{\mathbf{Sm}}_{*/\mathbb{R}}.$$

Given  $\bar{f}: \mathcal{X} \rightarrow \mathcal{Y}$  a morphism in  $\overline{\mathbf{Reg}}_{*/A}$  and objects  $\bar{\mathcal{F}}^*$ ,  $f_*\bar{\mathcal{F}}^*$  in  $\overline{\mathbf{D}}^b(\mathcal{X})$  and  $\overline{\mathbf{D}}^b(\mathcal{Y})$ , respectively, we may consider the corresponding real relative metrized complex, that we will abusively write  $\bar{\xi} = (\bar{f}, \bar{\mathcal{F}}, f_*\bar{\mathcal{F}}^*)$ , and its analytic torsion class  $T(\bar{\xi})$ . We may also write  $\bar{f}_b$  instead of  $\bar{f}_{\mathbb{C}b}$ , etc.

We are now in position to construct the arithmetic counterpart of [9, Eq. (10.6)], namely the direct image functor on arithmetic derived categories, as well as a similar push-forward on arithmetic  $K$ -theory.

**DEFINITION 5.4.** — *Let  $\bar{f}: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism in  $\overline{\mathbf{Reg}}_{*/A}$ ,  $C$  a  $\mathcal{D}_a(X)$ -complex,  $C'$  a  $\mathcal{D}_a(Y)$ -complex, both satisfying the hypothesis (H1) and (H2), and  $f_*: C \rightarrow C'$  a morphism fitting into a commutative diagram like (2.12).*

(i) We define the functor

$$\overline{f}_* : \widehat{\mathbf{D}}^b(\mathcal{X}, C) \longrightarrow \widehat{\mathbf{D}}^b(\mathcal{Y}, C'),$$

acting on objects by the assignment

$$[\overline{\mathcal{F}}^*, \tilde{\eta}] \longmapsto [\overline{f_*\mathcal{F}}^*, \overline{f}_b(\tilde{\eta}) - c'(T(\overline{f}, \overline{\mathcal{F}}^*, \overline{f_*\mathcal{F}}^*))].$$

Here  $\overline{f_*\mathcal{F}}^*$  carries an arbitrary choice of hermitian structure. The action on morphisms of  $\overline{f}_*$  is just the usual action  $f_*$  on morphisms of  $\mathbf{D}^b(\mathcal{X})$ .

(ii) We define a morphism of groups

$$\begin{aligned} f_* : \widehat{K}_0(\mathcal{X}, C) &\longrightarrow \widehat{K}_0(\mathcal{X}, C') \\ [\overline{\mathcal{E}}, \tilde{\eta}] &\longmapsto \left[ \sum_i (-1)^i \overline{\mathcal{E}}^{i*}, \overline{f}_b(\tilde{\eta}) - T(\overline{f}, \overline{\mathcal{E}}, \overline{f_*\mathcal{E}}^*) \right], \end{aligned}$$

where we choose an arbitrary quasi-isomorphism  $\mathcal{E}'^* \dashrightarrow f_*\mathcal{E}$  and arbitrary smooth hermitian metrics on the  $\mathcal{E}'^i$ . Here  $f_*\mathcal{E}$  denotes the derived direct image of the single locally free sheaf  $\mathcal{E}$ .

Notice the previous definition makes sense by the anomaly formulas satisfied by analytic torsion theories [9, Prop. 7.4]. Both push-forwards are compatible through the class map from arithmetic derived categories to arithmetic  $K$ -theory.

**THEOREM 5.5.** — *There is a commutative diagram of functors*

$$\begin{array}{ccc} \widehat{\mathbf{D}}^b(\mathcal{X}, C) & \xrightarrow{\overline{f}_*} & \widehat{\mathbf{D}}^b(\mathcal{Y}, C') \\ \downarrow & & \downarrow \\ \widehat{K}_0(\mathcal{X}, C) & \xrightarrow{f_*} & \widehat{K}_0(\mathcal{Y}, C'). \end{array}$$

This is in particular true for  $C = \mathcal{D}_{\text{cur,a}}(X, S)$  and  $C' = \mathcal{D}_{\text{cur,a}}(Y, f_*(S))$ , where  $S \subset T_0^*X$  is a closed conical subset invariant under the action of complex conjugation.

*Proof.* — Let  $[\overline{\mathcal{F}}^*, \tilde{\eta}]$  be an object in  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$ . By Remark 3.7, we can suppose the hermitian structure on  $\overline{\mathcal{F}}^*$  is given by a quasi-isomorphism  $\overline{\mathcal{E}}^* \dashrightarrow \mathcal{F}^*$ , where  $\overline{\mathcal{E}}^*$  is a finite complex of locally free sheaves, each one endowed with a smooth hermitian metric. For every  $i$ , we have to endow

$f_*\mathcal{E}^i$  with a hermitian structure given by a finite locally free resolution  $\overline{\mathcal{E}}^{i*} \longrightarrow f_*\mathcal{E}^i$  and a choice of arbitrary smooth hermitian metric on every piece  $\mathcal{E}^{ij}$ . From the data  $\overline{\mathcal{E}}^{i*} \longrightarrow f_*\mathcal{E}^i$ , every  $i$ , the procedure of [10, Def. 3.39] produces a hermitian structure on  $f_*\mathcal{E}^*$ , via the hermitian cone construction. Observe the construction of *loc. cit.* can be done in  $\widehat{\mathbf{D}}^b(\mathcal{Y})$ . Combined with the fixed quasi-isomorphism  $\mathcal{E}^* \longrightarrow \mathcal{F}^*$ , we thus obtain a hermitian structure on  $f_*\mathcal{F}^*$ , that we denote by  $\overline{f_*\mathcal{F}^*}$ .

The class of  $[\overline{\mathcal{F}}, \overline{\eta}]$  in  $\widehat{\mathbf{D}}^b(\mathcal{X}, C)$  is thus

$$\sum_i (-1)^i [\overline{\mathcal{E}}^i, 0] + [0, \overline{\eta}].$$

Its image under  $\overline{f}_*$  is

$$\sum_i (-1)^i \left( \left( \sum_j (-1)^j [\overline{\mathcal{E}}^{ij}, 0] \right) - [0, c'(T(\overline{\mathcal{F}}, \overline{\mathcal{E}}^i, \overline{f_*\mathcal{E}}^i))] \right) + [0, \overline{f}_b(\overline{\eta})]. \quad (5.1)$$

The class of  $\overline{f}_*[\overline{\mathcal{F}}, \overline{\eta}]$  in  $\widehat{\mathbf{D}}^b(\mathcal{Y}, C')$  is

$$[\overline{f_*\mathcal{F}^*}, \overline{f}_b(\overline{\eta}) - c'(T(\overline{\mathcal{F}}, \overline{\mathcal{F}}, \overline{f_*\mathcal{F}^*}))]. \quad (5.2)$$

By the choice of the hermitian structures on  $\overline{\mathcal{F}^*}$  and  $\overline{f_*\mathcal{F}^*}$  and by Theorem [9, Prop. 7.6], we have

$$T(\overline{\mathcal{F}}, \overline{\mathcal{F}}, \overline{f_*\mathcal{F}^*}) = \sum_i (-1)^i T(\overline{\mathcal{F}}, \overline{\mathcal{E}}^i, \overline{f_*\mathcal{E}}^i).$$

Therefore the class in arithmetic  $K$ -theory of (5.2) equals (5.1).  $\square$

There are several compatibilities between direct images, inverse images and derived tensor product. We now state them without proof, referring the reader to [9, Thm. 10.7] for the details.

**PROPOSITION 5.6.** — *Let  $\overline{f}: \mathcal{X} \rightarrow \mathcal{Y}$  and  $\overline{g}: \mathcal{Y} \rightarrow \mathcal{Z}$  be morphisms in  $\overline{\mathbf{Reg}}_{*/A}$ . Let  $S \subset T^*X_0$  and  $T \subset T^*Y_0$  be closed conical subsets.*

(i) (Functoriality of push-forward) *We have the relation*

$$(\overline{g} \circ \overline{f})_* = \overline{g}_* \circ \overline{f}_*,$$

*as functors  $\widehat{\mathbf{D}}^b(\mathcal{X}, S) \rightarrow \widehat{\mathbf{D}}^b(\mathcal{Z}, g_*f_*S)$ .*

- (ii) (*Projection formula*) Assume that  $T \cap N_f = \emptyset$  and that  $T + f_*S$  does not cross the zero section of  $T^*Y$ . Let  $[\overline{\mathcal{F}}^*, \tilde{\eta}]$  be in  $\widehat{\mathbf{D}}^b(\mathcal{X}, S)$  and  $[\overline{\mathcal{F}}'^*, \tilde{\eta}']$  in  $\widehat{\mathbf{D}}^b(\mathcal{Y}, T)$ . Then

$$\overline{f}_*([\overline{\mathcal{F}}^*, \tilde{\eta}] \otimes f^*[\overline{\mathcal{F}}'^*, \tilde{\eta}']) = \overline{f}_*[\overline{\mathcal{F}}, \tilde{\eta}] \otimes [\overline{\mathcal{F}}', \tilde{\eta}']$$

in  $\widehat{\mathbf{D}}^b(\mathcal{Y}, W)$ , where

$$W = f_*(S + f^*T) \cup f_*S \cup f_*f^*T.$$

- (iii) There are analogous relations on the level of arithmetic K-theory, compatible with the class functor from arithmetic derived categories.

*Remark 5.7.* — Strictly speaking, the equalities provided by the previous statement should be canonical isomorphisms, but as usual we abuse the notations and pretend they are equalities.

## 6. Arithmetic Grothendieck-Riemann-Roch

**Hermitian tangent complexes.** Let  $\overline{f}: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism in  $\overline{\mathbf{Reg}}_{*/A}$ . We explain how to construct the associated hermitian tangent complex  $T_{\overline{f}}$ .

This is an object in  $\overline{\mathbf{D}}^b(\mathcal{X})$ , well defined up to tight isomorphism.

Because  $\mathcal{X}, \mathcal{Y}$  are regular schemes and  $f$  is projective, it is automatically a l.c.i. morphism. The tangent complex of  $f$  is an object in  $\mathbf{D}^b(\mathcal{X})$ , well defined up to isomorphism. Consider a factorization

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{i} & \mathcal{Z} \\ & \searrow & \downarrow \pi \\ & & \mathcal{Y}, \end{array}$$

with  $i$  being a closed regular immersion and  $\pi$  a smooth morphism. For instance, one may choose  $\mathcal{Z} = \mathbb{P}_{\mathcal{Y}}^n$ , for some  $n$ . Let us denote by  $\mathcal{I}$  the ideal defining the closed immersion  $i$ . Then  $\mathcal{I}/\mathcal{I}^2$  is a locally free sheaf on  $\mathcal{X}$  and, as customary, we define the normal bundle  $N_{\mathcal{X}/\mathcal{Z}} = (\mathcal{I}/\mathcal{I}^2)^\vee$ . There is a morphism of coherent sheaves

$$\varphi: i^*T_{\mathcal{Z}/\mathcal{Y}} \longrightarrow N_{\mathcal{X}/\mathcal{Z}},$$

namely the dual of the differential map  $d: \mathcal{I}/\mathcal{I}^2 \rightarrow i^*\Omega_{\mathcal{Z}/\mathcal{Y}}$ . We consider  $\varphi$  as a complex with  $T_{\mathcal{Z}/\mathcal{Y}}$  in degree 0, and  $N_{\mathcal{X}/\mathcal{Z}}$  in degree one. We then put

$$T_f := [\varphi].$$

The isomorphism class of  $T_f$  in  $\mathbf{D}^b(\mathcal{X})$  is independent of the factorization.

The base change to  $\mathbb{C}$  of  $T_f$  is naturally isomorphic to the tangent complex  $T_{f_{\mathbb{C}}} : TX_{\mathbb{C}} \rightarrow f_{\mathbb{C}}^* Y_{\mathbb{C}}$ , which is equipped with a hermitian structure by assumption. Therefore, the data provided by the constructed complex  $T_f$  and the hermitian structure on  $\bar{f}$  determine an object  $T_{\bar{f}}$  in  $\overline{\mathbf{D}}^b(\mathcal{X})$ , which is well defined up to tight isomorphism. By Theorem 4.4, the arithmetic Todd class of  $T_{\bar{f}}$  is unambiguously defined.

DEFINITION 6.1. — *The arithmetic Todd class of  $\bar{f}$  is*

$$\widehat{\mathrm{Td}}(\bar{f}) := \widehat{\mathrm{Td}}(T_{\bar{f}}) \in \bigoplus_p \widehat{\mathrm{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_a(X)).$$

THEOREM 6.2. — *Let  $\bar{f}: \mathcal{X} \rightarrow \mathcal{Y}$ ,  $\bar{g}: \mathcal{Y} \rightarrow \mathcal{Z}$  be morphisms in  $\overline{\mathbf{Reg}}_{*/A}$ . Then we have an equality*

$$\widehat{\mathrm{Td}}(\bar{g} \circ \bar{f}) = f^* \widehat{\mathrm{Td}}(\bar{g}) \cdot \widehat{\mathrm{Td}}(\bar{f})$$

in  $\bigoplus_p \widehat{\mathrm{CH}}_{\mathbb{Q}}^p(\mathcal{X}, \mathcal{D}_a(X))$ .

*Proof.* — By construction of  $T_{\bar{f}}$  and definition of the composition rule of morphisms in  $\overline{\mathbf{Reg}}_{*/A}$ , there is a tightly distinguished triangle in  $\overline{\mathbf{D}}^b(\mathcal{X})$

$$T_{\bar{f}} \longrightarrow T_{\bar{g} \circ \bar{f}} \longrightarrow f^* T_{\bar{g}} \longrightarrow T_{\bar{f}}[1].$$

We conclude by an application of Theorem 4.4. □

**Statement.** The arithmetic Grothendieck-Riemann-Roch theorem describes the behavior of the arithmetic Chern character with respect to the push-forward functor. Recall that the definition of the push-forward functor depends on the choice of a theory of generalized analytic torsion classes. In its turn, such a theory corresponds to a real additive genus.

THEOREM 6.3. — *Let  $\bar{f}: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism in  $\overline{\mathbf{Reg}}_{*/A}$ . Fix a closed conical subset  $W$  of  $T_0^* X$  and a theory of generalized analytic torsion classes  $T$ , whose associated real additive genus is  $S$ . Then, the derived direct image functor*

$$\bar{f}_* : \widehat{\mathbf{D}}^b(\mathcal{X}, \mathcal{D}_{\mathrm{cur},a}(X, W)) \longrightarrow \widehat{\mathbf{D}}^b(\mathcal{Y}, \mathcal{D}_{\mathrm{cur},a}(Y, f_*(W)))$$

attached to  $T$  satisfies the equation

$$\widehat{\mathrm{ch}}(\bar{f}_* \alpha) = f_* (\widehat{\mathrm{ch}}(\alpha) \widehat{\mathrm{Td}}(\bar{f})) - a(f_* (\mathrm{ch}(\mathcal{F}_{\mathbb{C}}^*) \mathrm{Td}(T_{f_{\mathbb{C}}}) S(T_{f_{\mathbb{C}}})) ), \quad (6.1)$$

for every object  $\alpha = [\bar{\mathcal{F}}^*, \bar{\eta}]$ . The equality takes place in the arithmetic Chow group  $\bigoplus_p \widehat{\mathrm{CH}}_{\mathbb{Q}}^p(\mathcal{Y}, \mathcal{D}_{\mathrm{cur},a}(Y, f_*(W)))$ .

Recall that the genus  $S=0$  corresponds to the homogeneous theory  $T^h$ , which is characterized by satisfying an additional homogeneity property [13, Sec. 9]. Roughly speaking, this condition is exactly the one guaranteeing an arithmetic Grothendieck-Riemann-Roch theorem without correction term.

**COROLLARY 6.4.** — *The direct image functor  $\overline{f}_*^h$  attached to the homogeneous generalized analytic torsion theory  $T^h$  satisfies an exact Grothendieck-Riemann-Roch type formula:*

$$\widehat{\text{ch}}(\overline{f}_*^h \alpha) = f_*(\widehat{\text{ch}}(\alpha)\widehat{\text{Td}}(\overline{f})).$$

A Grothendieck-Riemann-Roch theorem in Arakelov geometry was proved by Gillet-Soulé [20], for the degree 1 part of the Chern character (namely the determinant of the cohomology) and under the restriction on the morphism  $f$  to be smooth over  $\mathbb{C}$ . Also they can only deal with hermitian vector bundles. They used the holomorphic analytic torsion [2], [3], [4] and deep results of Bismut-Lebeau [6] on the compatibility of analytic torsion with closed immersions. The holomorphic analytic torsion was later generalized by Bismut-Köhler [5], to the holomorphic analytic torsion forms, that transgress the whole Grothendieck-Riemann-Roch theorem for Kähler submersions, at the level of differential forms. The extension of the arithmetic Grothendieck-Riemann-Roch theorem to the full Chern character and generically smooth morphisms was finally proven by Gillet-Rössler-Soulé [16]. They applied the analogue to the Bismut-Lebeau immersion theorem, for analytic torsion forms, established in the monograph by Bismut [1].

Theorem 6.3 provides an extension of the previous results in several directions. First, we allow the morphism to be an arbitrary projective morphism, non necessarily generically smooth. Second, we can deal with metrized objects in the bounded derived category of coherent sheaves. Third, we provide all the possible forms of such a theorem, by introducing our theory of generalized analytic torsion classes, thus explaining the topological correction term.

**Reductions.** Our proof of the arithmetic Grothendieck-Riemann-Roch theorem follows the pattern of the classical approach in algebraic geometry. Namely, it proceeds by factorization of the morphism  $\overline{f}$  into a regular closed immersion and a trivial projective bundle projection. The advantage of working with the formalism of hermitian structures on the derived category of coherent sheaves makes the whole procedure more transparent and direct, in particular avoiding the appearance of several secondary classes. Also the cocycle type relation expressing the behaviour of generalized analytic torsion with respect to composition of morphisms in  $\overline{\mathbf{Sm}}_{*/\mathbb{R}}$  (see the axioms [9, Sec. 7]) is well suited to this factorization argument.

By the classification of generalized analytic torsion theories, it is enough to prove Theorem 6.3 for the homogenous theory  $T^h$ . From now on we fix this choice, and hence all derived direct image functors will be with respect to this theory.

Let  $\bar{f}$  be a morphism in  $\overline{\mathbf{Reg}}_{*/A}$ , and consider a factorization

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{i} & \mathbb{P}_{\mathcal{Y}}^n \\ & \searrow f & \downarrow \pi \\ & & \mathcal{Y}. \end{array}$$

The tangent complex of  $\pi$  is canonically isomorphic to  $p'^*T_{\mathbb{P}_A^n/A}$ , where

$$\begin{array}{ccc} \mathbb{P}_{\mathcal{Y}}^n & \xrightarrow{p'} & \mathbb{P}_A^n \\ \pi \downarrow & & \downarrow \pi' \\ \mathcal{Y} & \xrightarrow{p} & \text{Spec } A. \end{array}$$

We may thus endow  $\pi$  with the pull-back by  $p'$  of the Fubini-Study metric on  $T_{\mathbb{P}_A^n/A}$  [9, Sec. 5]. We write  $\bar{\pi}$  for the resulting morphism in  $\overline{\mathbf{Reg}}_{*/A}$ . By [10, Lemma 5.3], there exists a unique hermitian structure on  $i$  such that  $\bar{f} = \bar{\pi} \circ \bar{i}$ . Then we recall that we have the equality of functors

$$\bar{f}_* = \bar{\pi}_* \circ \bar{i}_*$$

and the equality of arithmetic Todd genera

$$\widehat{\text{Td}}(\bar{f}) = i^* \widehat{\text{Td}}(\bar{\pi}) \widehat{\text{Td}}(\bar{i}).$$

LEMMA 6.5. — *It is enough to prove Theorem 6.3 for  $\bar{i}$  and  $\bar{\pi}$  individually.*

*Proof.* — Let us assume the theorem known for  $\bar{i}$  and for  $\bar{\pi}$ . Because the theorem is known for  $\bar{\pi}$ , we may apply it to the object  $\bar{i}_*\alpha$  in  $\overline{\mathbf{D}}^b(\mathbb{P}_{\mathcal{Y}}^n)$ , to obtain

$$\widehat{\text{ch}}(\bar{f}_*\alpha) = \widehat{\text{ch}}(\bar{\pi}_*(\bar{i}_*\alpha)) = \pi_*(\widehat{\text{ch}}(\bar{i}_*\alpha) \widehat{\text{Td}}(\bar{\pi})). \quad (6.2)$$

Because the theorem is known for  $\bar{i}$ , we also have

$$\begin{aligned} \widehat{\text{ch}}(\bar{i}_*\alpha) \widehat{\text{Td}}(\bar{\pi}) &= i_*(\widehat{\text{ch}}(\alpha) \widehat{\text{Td}}(\bar{i})) \widehat{\text{Td}}(\bar{\pi}) \\ &= i_*(\widehat{\text{ch}}(\alpha) \widehat{\text{Td}}(\bar{i}) i^* \widehat{\text{Td}}(\bar{\pi})) \\ &= i_*(\widehat{\text{ch}}(\alpha) \widehat{\text{Td}}(\bar{f})). \end{aligned} \quad (6.3)$$

Observe we used the projection formula in arithmetic Chow groups (Theorem 2.18), and that this equality holds in  $\bigoplus_p \widehat{\text{CH}}_Q^p(\mathcal{Y}, \mathcal{D}_{\text{cur,a}}(Y, f_*(W)))$ , because the definition of direct image of wave front sets and the fact that  $\pi$  is smooth yield  $f_*(W) = \pi_*(i_*(W))$ . We conclude by putting (6.2)–(6.3) together and using the fact  $f_* = \pi_* i_*$  on arithmetic Chow groups (Proposition 2.15).  $\square$

LEMMA 6.6. — *Theorem 6.3 holds for  $\bar{i}$ .*

*Proof.* — In [13, Thm 10.28], the authors prove the theorem for direct images by closed immersions, defined on arithmetic  $K$  groups. They suppose as well that the hermitian structure on  $T_i$  is given by a smooth hermitian metric on  $N_{\mathcal{X}/\mathbb{P}_Y^n}$ . Finally, the result in *loc. cit.* holds in  $\bigoplus_p \widehat{\text{CH}}_Q^p(\mathcal{Y}, \mathcal{D}_{\text{cur,a}}(Y))$ .

By the anomaly formula provided by Theorem 4.4 and the anomaly formula of generalized analytic torsion theories [9, Prop. 7.4] for change of hermitian structure on the tangent complex, one can extend [13, Thm 10.28] to arbitrary hermitian structures on  $i$ , in particular the one we fixed. Also, a careful proof reading of *loc. cit.* shows that the theorem can be adapted to allow  $\alpha \in \widehat{K}_0(\mathcal{X}, \mathcal{D}_{\text{cur,a}}(X, W))$ , taking values in  $\bigoplus_p \widehat{\text{CH}}_Q^p(\mathbb{P}_Y^n, \mathcal{D}_{\text{cur,a}}(\mathbb{P}_Y^n, i_*W))$ . Finally, to get the result for  $\bar{i}_*$  on the arithmetic derived category, we use the class functor to arithmetic  $K$ -theory, the commutativity Theorem 5.5 and that the Chern character on arithmetic derived category factors, by construction, through arithmetic  $K$  groups (see Notation 3.13).  $\square$

LEMMA 6.7 *To prove Theorem 6.3 for  $\bar{\pi}$ , it is enough to prove it when  $\mathcal{Y} = \text{Spec}A$  and  $\alpha = \overline{\mathcal{O}(k)}$ ,  $-n \leq k \leq 0$ , where the chosen hermitian structure on  $\mathcal{O}(k)$  is the Fubini-Study metric.*

*Proof.* — The derived category  $\mathbf{D}^b(\mathbb{P}_Y^n)$  is generated by coherent sheaves of the form  $\pi^*\mathcal{G} \otimes p'^*\mathcal{O}(k)$  [9, Cor. 4.11]. By the anomaly formulas [9, Prop. 7.4, Prop. 7.6], we thus reduce to prove the theorem for  $\alpha$  of the form  $\pi^*\mathcal{G} \otimes p'^*\mathcal{O}(k)$ , for any metric on  $\mathcal{G}$ . On the one hand, by the formulas in Prop 5.6, the multiplicativity and pull-back functoriality (Prop. 3.3) of the Chern character, we have

$$\begin{aligned} \widehat{\text{ch}}(\bar{\pi}_*\alpha) &= \widehat{\text{ch}}(\overline{\mathcal{G}} \otimes \bar{\pi}_*p'^*\overline{\mathcal{O}(k)}) \\ &= \widehat{\text{ch}}(\overline{\mathcal{G}})\widehat{\text{ch}}(\bar{\pi}_*p'^*\overline{\mathcal{O}(k)}) \\ &= \widehat{\text{ch}}(\overline{\mathcal{G}})\widehat{\text{ch}}(p^*\bar{\pi}'_*\overline{\mathcal{O}(k)}) \\ &= \widehat{\text{ch}}(\overline{\mathcal{G}})p^*\widehat{\text{ch}}(\bar{\pi}'_*\overline{\mathcal{O}(k)}). \end{aligned}$$



Here we recall  $\pi' : \mathbb{P}_A^n \rightarrow \text{Spec}A$  is the structure morphism, that we endow with the Fubini-Study metric. On the other hand, we similarly prove

$$\begin{aligned} \pi_*(\widehat{\text{ch}}(\pi^*\overline{\mathcal{G}} \otimes p'^*\overline{\mathcal{O}(k)})\widehat{\text{Td}}(\overline{\pi})) &= \widehat{\text{ch}}(\overline{\mathcal{G}})\pi_*(p'^*\widehat{\text{ch}}(\overline{\mathcal{O}(k)})p'^*\widehat{\text{Td}}(\overline{\pi}')) \\ &= \widehat{\text{ch}}(\overline{\mathcal{G}})p^*\pi'_*(\widehat{\text{ch}}(\overline{\mathcal{O}(k)})\widehat{\text{Td}}(\overline{\pi}')). \end{aligned}$$

We thus reduce to prove the theorem for  $\overline{\pi}'$  and  $\alpha = \overline{\mathcal{O}(k)}$ , as was to be shown.  $\square$

**The case of projective spaces.** To prove Theorem 6.3 in full generality, it remains to treat the case of the projection  $\overline{\pi}: \mathbb{P}_A^n \rightarrow \text{Spec}A$ , where we endow  $\pi$  with the Fubini-Study metric. Since this projection is the pull-back of the projection  $\overline{\pi}: \mathbb{P}_{\mathbb{Z}}^n \rightarrow \text{Spec}\mathbb{Z}$  it is enough to treat the case when  $A = \mathbb{Z}$ .

Furthermore, we showed that it is enough to consider  $\alpha$  of the form  $\overline{\mathcal{O}(k)}$ ,  $-n \leq k \leq 0$ , with the Fubini-Study metric as well and any metric of the direct image  $\pi_*\mathcal{O}(k)$ . In [9, Def. 5.7], we introduced the main characteristic numbers of  $T^h$ ,

$$t_{n,k}^h = T^h(\overline{\pi}, \overline{\mathcal{O}(k)}, \overline{\pi_*\mathcal{O}(k)}), \quad -n \leq k \leq 0,$$

where  $\pi_*\mathcal{O}(k)$  was endowed with its  $L^2$  metric. Since we will only consider the homogeneous analytic torsion we will shorthand  $t_{n,k}^h = t_{n,k}$ .

We will denote by  $\overline{0}$  the zero vector bundle with trivial hermitian structure and, for any  $X$ , we denote by  $\overline{\mathcal{O}}_X$  the structural sheaf with the metric  $\|1\| = 1$ . For  $-n \leq k < 0$ , the complex  $\overline{\pi_*\mathcal{O}(k)}$  can be represented by  $\overline{0}$  (the zero hermitian vector bundle), while the complex  $\overline{\pi_*\mathcal{O}(0)}$  can be represented by the structural sheaf  $\mathcal{O}_{\mathbb{Z}}$  with the metric  $\|1\|^2 = 1/n!$ . Since this metric depends on  $n$  it will be simpler to consider the complexes  $\overline{\pi_*\mathcal{O}(k)'} = \overline{\pi_*\mathcal{O}(k)}$  for  $-n \leq k < 0$  and  $\overline{\pi_*\mathcal{O}(k)'} = \overline{\mathcal{O}}_{\mathbb{Z}}$ . Then we write

$$t'_{n,k} = T^h(\overline{\pi}, \overline{\mathcal{O}(k)}, \overline{\pi_*\mathcal{O}(k)'}), \quad -n \leq k \leq 0.$$

These characteristic numbers satisfy

$$t'_{n,k} = \begin{cases} t_{n,k}, & \text{if } -n \leq k < 0, \\ t_{n,0} - (1/2) \log(n!), & \text{if } k = 0. \end{cases}$$

Clearly it is equivalent to work with the characteristic numbers  $t_{n,k}$  or with  $t'_{n,k}$ .

In order to finish the the proof of Theorem 6.3 it only remains to show the following particular cases.

THEOREM 6.8. — *For every  $0 \leq k \leq n$ , we have the equality in  $\widehat{\text{CH}}^1(\text{Spec}\mathbb{Z}) = \mathbb{R}$*

$$a(t'_{n,-k}) = \widehat{\text{ch}}(\overline{\pi_*\mathcal{O}(-k)'}) - \pi_*(\widehat{\text{ch}}(\overline{\mathcal{O}(-k)})\widehat{\text{Td}}(\overline{\pi})). \quad (6.4)$$

The proof will proceed by induction. The next proposition treats the first case.

PROPOSITION 6.9. — *Equation (6.4) holds for  $k = 0$ .*

*Proof.* — The proof exploits the behavior of generalized analytic torsion with respect to composition of morphisms. Let us consider the diagram

$$\begin{array}{ccccc} \mathbb{P}_{\mathbb{Z}}^n & \xrightarrow{\Delta} & \mathbb{P}_{\mathbb{Z}}^n \times_{\mathbb{Z}} \mathbb{P}_{\mathbb{Z}}^n & \xrightarrow{p_1} & \mathbb{P}_{\mathbb{Z}}^n \\ & \searrow \text{id} & \downarrow p_2 & & \downarrow \pi \\ & & \mathbb{P}_{\mathbb{Z}}^n & \xrightarrow{\pi_1} & \text{Spec}\mathbb{Z}. \end{array}$$

The Fubini-Study metric on the tangent space  $T_{\mathbb{P}_{\mathbb{C}}^n}$  is invariant under complex conjugation. It induces a metric on the tangent space of the product of projective spaces. On each morphism on the above diagram we consider the relative hermitian structure deduced by the hermitian metrics on each tangent space. With this choice

$$\overline{\text{id}} = \overline{p_2} \circ \overline{\Delta}, \quad (6.5)$$

where the composition of relative hermitian structures is defined in [10, Definition 5.7]. On the relative tangent bundle  $T_{p_2}$  we consider the metric induced by the metric on  $T_{\mathbb{P}^n \times \mathbb{P}^n}$ . Since the short exact sequence

$$0 \longrightarrow \overline{T}_{p_2} \longrightarrow \overline{T}_{\mathbb{P}^n \times \mathbb{P}^n} \longrightarrow p_2^* \overline{T}_{\mathbb{P}^2} \longrightarrow 0$$

is orthogonally split, we deduce that the metric we consider on  $\overline{p_2}$  agrees with the metric on the vector bundle  $\overline{T}_{p_2}$  and this, in turn agrees with the metric on  $p_1^* T_{\mathbb{P}^n}$ .

Let  $\overline{Q}$  be the tautological quotient bundle on  $\mathbb{P}_{\mathbb{Z}}^n$  with any hermitian metric invariant under complex conjugation. We denote by  $K$  the Koszul resolution of the diagonal and  $\overline{K}$  the same resolution with the induced metrics. Namely  $\overline{K}$  is the complex

$$0 \rightarrow p_2^* \Lambda^n \overline{Q}^{\vee} \otimes p_1^* \overline{\mathcal{O}(-n)} \rightarrow \dots \rightarrow p_2^* \overline{Q}^{\vee} \otimes p_1^* \overline{\mathcal{O}(-1)} \rightarrow \overline{\mathcal{O}}_{\mathbb{P}^n \times \mathbb{P}^n} \rightarrow 0,$$

and there is an isomorphism in the derived category  $K \rightarrow \Delta_* \mathcal{O}_{\mathbb{P}^n_{\mathbb{Z}}}$ . Since the theories of homogeneous torsion classes for closed immersions and for projective spaces are compatible [9, Definition 6.2] the equation

$$T(\bar{p}_2, \bar{K}, \bar{\mathcal{O}}_{\mathbb{P}^n}) + \bar{p}_{2b}(T(\bar{\Delta}, \bar{\mathcal{O}}_{\mathbb{P}^n}, \bar{K})) = 0 \quad (6.6)$$

holds. Then

$$\begin{aligned} T(\bar{p}_2, \bar{K}, \bar{\mathcal{O}}_{\mathbb{P}^n}) &= T(\bar{p}_2, \bar{\mathcal{O}}_{\mathbb{P}^n \times \mathbb{P}^n}, \bar{\mathcal{O}}_{\mathbb{P}^n}) + \sum_{i=1}^n (-1)^i T(\bar{p}_2, p_2^* \Lambda^i \bar{Q}^\vee \otimes p_1^* \overline{\mathcal{O}(-i)}, \bar{0}) \\ &= \pi_1^* T(\bar{\pi}, \bar{\mathcal{O}}_{\mathbb{P}^n}, \bar{\mathcal{O}}_{\mathbb{Z}}) \bullet \text{ch}(\bar{\mathcal{O}}_{\mathbb{P}^n}) \\ &\quad + \sum_{i=1}^n (-1)^i \pi_1^* T(\bar{\pi}, \overline{\mathcal{O}(-i)}, \bar{0}) \bullet \text{ch}(\Lambda^i \bar{Q}^\vee) \\ &= \sum_{i=0}^n (-1)^i t_{n,-i} \bullet \text{ch}(\Lambda^i \bar{Q}^\vee). \end{aligned}$$

Using that

$$\pi_{1*}(\text{ch}(\Lambda^i \bar{Q}^\vee) \text{Td}(\bar{\pi}_1)) = \begin{cases} 1, & \text{if } i=0, \\ 0, & \text{otherwise,} \end{cases}$$

we deduce

$$\bar{\pi}_{1b}(T(\bar{p}_2, \bar{K}, \bar{\mathcal{O}}_{\mathbb{P}^n})) = t_{n,0}. \quad (6.7)$$

By the arithmetic Riemann-Roch theorem for closed immersions

$$a(T(\bar{\Delta}, \bar{\mathcal{O}}_{\mathbb{P}^n}, \bar{K})) = \sum_{i=0}^n (-1)^i p_2^* \widehat{\text{ch}}(\Lambda^i \bar{Q}^\vee) \cdot p_1^* \widehat{\text{ch}}(\overline{\mathcal{O}(-i)}) - \Delta_* (\widehat{\text{ch}}(\bar{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\bar{\Delta})).$$

For  $i > 0$

$$\begin{aligned} \pi_{1*} \left( p_{2*} \left( p_2^* \widehat{\text{ch}}(\Lambda^i \bar{Q}^\vee) p_1^* \widehat{\text{ch}}(\overline{\mathcal{O}(-i)}) \widehat{\text{Td}}(\bar{p}_2) \right) \widehat{\text{Td}}(\bar{\pi}_1) \right) \\ = \pi_{1*} (\widehat{\text{ch}}(\Lambda^i \bar{Q}^\vee) \widehat{\text{Td}}(\bar{\pi}_1)) \cdot \pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}(-i)}) \widehat{\text{Td}}(\bar{\pi})). \end{aligned}$$

Since

$$\zeta(\pi_{1*} (\widehat{\text{ch}}(\Lambda^i \bar{Q}^\vee) \widehat{\text{Td}}(\bar{\pi}_1))) = \zeta(\pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}(-i)}) \widehat{\text{Td}}(\bar{\pi}))) = 0$$

and  $\text{Ker } \zeta$  is a square zero ideal of the arithmetic Chow ring, we deduce that, for  $i > 0$

$$\pi_{1*} \left( p_{2*} \left( p_2^* \widehat{\text{ch}}(\Lambda^i \bar{Q}^\vee) p_1^* \widehat{\text{ch}}(\overline{\mathcal{O}(-i)}) \widehat{\text{Td}}(\bar{p}_2) \right) \widehat{\text{Td}}(\bar{\pi}_1) \right) = 0. \quad (6.8)$$

For  $i = 0$  we compute

$$\pi_{1*} \left( p_{2*} \left( p_2^* \widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) p_1^* \widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{p}_2) \right) \widehat{\text{Td}}(\overline{\pi}_1) \right) = \pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\pi}))^2.$$

Using that  $\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) = 1$  and that  $\pi_* (\widehat{\text{Td}}(\overline{\pi})) - 1 \in \text{Ker } \zeta$  we obtain that

$$\pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\pi}))^2 = -1 + 2\pi_* (\widehat{\text{Td}}(\overline{\pi})). \quad (6.9)$$

Furthermore, by the choice of metrics on the relative tangent complexes

$$\pi_{1*} p_{2*} \Delta_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\Delta}) \widehat{\text{Td}}(\overline{p}_2) \widehat{\text{Td}}(\overline{\pi}_1)) = \pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\pi})). \quad (6.10)$$

Using equations (6.8), (6.9) and (6.10) we deduce that

$$a(\overline{\pi}_{1b}(\overline{p}_{2b}(T(\overline{\Delta}, \overline{\mathcal{O}}_{\mathbb{P}^n}, \overline{K})))) = \pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\pi})) - \widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{Z}}). \quad (6.11)$$

By equations (6.6), (6.7) and (6.11) we conclude

$$a(t'_{n,0}) = \widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{Z}}) - \pi_* (\widehat{\text{ch}}(\overline{\mathcal{O}}_{\mathbb{P}^n}) \widehat{\text{Td}}(\overline{\pi}))$$

proving the proposition.  $\square$

*Proof of Theorem 6.8.* — We now proceed with the induction step. We assume that equation (6.4) holds for some  $k \geq 0$  and all  $n \geq k$ . Fix now  $n \geq k + 1$ . Consider the diagram

$$\begin{array}{ccc} \mathbb{P}_{\mathbb{Z}}^{n-1} & \xrightarrow{s} & \mathbb{P}_{\mathbb{Z}}^n \\ & \searrow \pi_{n-1} & \downarrow \pi_n \\ & & \text{Spec } \mathbb{Z}, \end{array}$$

where  $s$  is the closed immersion induced by a section  $s$  of  $\mathcal{O}_{\mathbb{P}^n}(1)$ . As in the proof of Proposition 6.9, we consider the relative hermitian structures defined by the Fubini-Study metric on the tangent bundles. In this way  $\overline{\pi}_{n-1} = \overline{\pi}_n \circ \overline{s}$ .

We consider the Koszul complex

$$K_{n,k}: \mathcal{O}_{\mathbb{P}^n}(-k-1) \xrightarrow{s} \mathcal{O}_{\mathbb{P}^n}(-k)$$

and we denote by  $\overline{K}_{n,k}$  the same complex provided with the Fubini-Study metrics.

By the transitivity [9, Definition 7.1] of the homogeneous theory of analytic torsion, the equation

$$T^h(\overline{\pi}_{n-1}, \overline{\mathcal{O}(-k)}, \overline{\pi_{n-1*} \mathcal{O}(-k)'}) =$$

$$T^h(\overline{\pi_n}, \overline{K_{n,k}}, \overline{\pi_{n-1*}\mathcal{O}(-k)'}) + \overline{\pi_{nb}}(T^h(\overline{s}, \overline{\mathcal{O}(-k)}, \overline{K_{n,k}})).$$

By definition  $T^h(\overline{\pi_{n-1}}, \overline{\mathcal{O}(-k)}, \overline{\pi_{n-1*}\mathcal{O}(-k)'}) = t'_{n-1,-k}$ . By the choice of metrics, the exact sequence

$$0 \rightarrow \overline{\pi_{n*}\mathcal{O}(-k-1)' } \rightarrow \overline{\pi_{n*}\mathcal{O}(-k)' } \rightarrow \overline{\pi_{n-1*}\mathcal{O}(-k)' } \rightarrow 0 \quad (6.12)$$

is orthogonal split (all the terms appearing in this short exact sequence are either  $\overline{0}$  or  $\overline{\mathcal{O}_{\mathbb{Z}}}$ ). This implies that

$$\begin{aligned} T^h(\overline{\pi_n}, \overline{K_{n,k}}, \overline{\pi_{n-1*}\mathcal{O}(-k)'}) &= \\ T^h(\overline{\pi_n}, \overline{\mathcal{O}(-k)}, \overline{\pi_{n*}\mathcal{O}(-k)'}) - T^h(\overline{\pi_n}, \overline{\mathcal{O}(-k-1)}, \overline{\pi_{n*}\mathcal{O}(-k-1)'}) &= \\ t'_{n,-k} - t'_{n,-k-1}. \end{aligned}$$

By Lemma 6.6 (the case of closed immersions)

$$T^h(\overline{s}, \overline{\mathcal{O}(-k)}, \overline{K_{n,k}}) = \widehat{\text{ch}}(\overline{\mathcal{O}(-k)}) - \widehat{\text{ch}}(\overline{\mathcal{O}(-k-1)}) - \overline{s_b}(\widehat{\text{ch}}(\overline{\mathcal{O}(-k)})).$$

This implies that

$$\begin{aligned} \overline{\pi_{nb}}(T^h(\overline{s}, \overline{\mathcal{O}(-k)}, \overline{K_{n,k}})) &= \\ \overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k)}) - \overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k-1)}) - \overline{\pi_{n-1b}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k)}). \end{aligned}$$

Summing up, we deduce

$$\begin{aligned} a(t'_{n-1,-k} - t'_{n,-k} + t'_{n,-k-1}) &= \\ -\overline{\pi_{n-1b}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k)}) + \overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k)}) + -\overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k-1)}). \end{aligned} \quad (6.13)$$

Applying the induction hypothesis we get

$$t'_{n,-k-1} = -\widehat{\text{ch}}(\overline{\pi_{n-1*}\mathcal{O}(-k)'}) + \widehat{\text{ch}}(\overline{\pi_{n*}\mathcal{O}(-k)'}) - \overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k-1)}).$$

Using again that the exact sequence (6.12) is orthogonally split, we deduce that

$$t'_{n,-k-1} = \widehat{\text{ch}}(\overline{\pi_{n*}\mathcal{O}(-k-1)'}) - \overline{\pi_{nb}}\widehat{\text{ch}}(\overline{\mathcal{O}(-k-1)}).$$

completing the inductive step and proving the Theorem 6.8 and therefore Theorem 6.3.  $\square$

Once we have proved that the formal properties of an analytic torsion theory imply the arithmetic Riemann-Roch theorem we can compute easily the characteristic numbers  $t_{n,k}$  for the homogeneous analytic torsion and all  $n \geq 0$  and  $k \in \mathbb{Z}$ . By the Theorem 6.3 they satisfy

$$a(t_{n,k}) = \widehat{\text{ch}}(\overline{\pi_{n*}\mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)}) - \pi_{n*}(\widehat{\text{ch}}(\overline{\mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)})\widehat{\text{Td}}(\overline{\pi_n})).$$

Observe it is enough to compute  $t_{n,k}$  for  $k \geq -n$ , since the self-duality of the homogenous analytic torsion [9, Thm. 9.12] immediately yields the relation

$$t_{n,k} = (-1)^n t_{n,-k-n-1}.$$

Therefore, from now on we restrict to this range of values of  $k$ .

We first compute  $\widehat{\text{ch}}(\overline{\pi_{n*} \mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)})^{(1)}$ . For  $-n \leq k \leq -1$  this quantity vanishes:

$$\widehat{\text{ch}}(\overline{\pi_{n*} \mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)})^{(1)} = 0, \quad -n \leq k \leq -1.$$

Suppose now  $k \geq 0$ . Using that the volume form  $1/n! \omega_{FS}^n$  is given, in a coordinate patch, by

$$\mu = \left( \frac{i}{2\pi} \right)^n \frac{dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n}{(1 + \sum_{i=1}^n z_i \bar{z}_i)^{n+1}},$$

it is easy to see that the basis  $\{x_0^{a_0} \dots x_n^{a_n}\}_{a_0+\dots+a_n=k}$  is orthonormal and satisfies

$$\|x_0^{a_0} \dots x_n^{a_n}\|_{L^2}^2 = \frac{a_0! \dots a_n!}{(k+n)!}.$$

Therefore

$$\widehat{\text{ch}}(\overline{\pi_{n*} \mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)})^{(1)} = \sum_{a_0+\dots+a_n=k} -\left(\frac{1}{2}\right) \log \left( \frac{a_0! \dots a_n!}{(k+n)!} \right).$$

To compute  $\pi_{n*}(\widehat{\text{ch}}(\overline{\mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)}) \widehat{\text{Td}}(\overline{\pi_n}))$  we follow [19], where the case  $k = 0$  is considered. Let  $\alpha_{n,k}$  be the coefficient of  $x^{n+1}$  in the power series  $e^{kx} \left( \frac{x}{1-e^{-x}} \right)^{n+1}$  and let  $\beta_{n,k}$  be the coefficient of  $x^n$  in the power series  $\int_0^1 \frac{\phi(t) - \phi(0)}{t} dt$ , where

$$\phi(t) = e^{kx} \left( \frac{1}{tx} - \frac{e^{-tx}}{1-e^{-tx}} \right) \left( \frac{x}{1-e^{-x}} \right)^{n+1}.$$

Then, by a slight modification of the argument in [19, Proposition 2.2.2 & 2.2.3], we derive

$$\pi_{n*}(\widehat{\text{ch}}(\overline{\mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^n}(k)}) \widehat{\text{Td}}(\overline{\pi_n}))^{(1)} = \frac{1}{2} a \left( \alpha_{n,k} \sum_{p=1}^n \sum_{j=1}^p \frac{1}{j} + \beta_{n,k} \right).$$

The factor  $(1/2)$  appears from the different normalization used here (see [11, Theorem 3.33]). We thus have to determine the coefficients  $\alpha_{n,k}$  and  $\beta_{n,k}$ .

The numbers  $\alpha_{n,k}$  can be obtained similarly to [19, Eq. (27)]. We obtain

$$\alpha_{n,k} = \begin{cases} 0 & \text{for } -n \leq k \leq -1, \\ \binom{k+n}{n} & \text{for } k \geq 0. \end{cases}$$

The values of  $\beta_{n,k}$  are expressed in terms of some secondary Todd numbers, that in turn are determined by a generating series:

$$\beta_{n,k} = \sum_{j=0}^n \widetilde{\text{Td}}_{n-j} \frac{k^j}{j!},$$

where the  $\widetilde{\text{Td}}_m$  are given by the equality of generating series

$$\sum_{m \geq 0} \frac{\widetilde{\text{Td}}_m}{m+1} T^{m+1} = \sum_{m \geq 1} \frac{\zeta(-(2m-1))}{2m-1} \frac{y^{2m}}{(2m)!}, \quad T = 1 - e^{-y}. \quad (6.14)$$

Here  $\zeta$  stands for the Riemann zeta function. The result is a direct consequence of the definition of  $\beta_{n,k}$  and the computation of the numbers  $\beta_{n,0}$  in [19, Prop. 2.2.3 & Lemma 2.4.3].

We summarize these computations for the principal characteristic numbers  $t_{n,k}$ ,  $-n \leq k \leq 0$ , since it is particularly pleasant.

PROPOSITION 6.10. — *The principal characteristic numbers  $t_{n,k}$  are given by*

$$t_{n,k} = \begin{cases} -\frac{1}{2} \sum_{p=1}^n \sum_{j=1}^p \frac{1}{j}, & \text{for } k = 0, \\ -\frac{1}{2} \sum_{j=0}^n \widetilde{\text{Td}}_{n-j} \frac{k^j}{j!}, & \text{for } -n \leq k \leq -1, \end{cases}$$

where the sequence of numbers  $\widetilde{\text{Td}}_m$ ,  $m \geq 0$ , is determined by (6.14).

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